

Proyecciones Journal of Mathematics  
Vol. 36, N° 2, pp. 299-306, June 2017.  
Universidad Católica del Norte  
Antofagasta - Chile

## On the toral rank conjecture and some consequences

*Hassan Aaya*  
*Mohamed Anas Hilali*  
*Mohamed Rachid Hilali*  
*and*  
*Tarik Jawad*

*University Hassan II, Morocco*

*Received : May 2016. Accepted : March 2017*

### Abstract

*The aim of this work is to improve the lower bound of the Puppe inequality. His theorem [15, Theorem 1.1] states that the sum of all Betti numbers of a well-behaved space  $X$  is at least equal to  $2n$ , where  $n$  is rank of an  $n$ -torus  $T^n$  acting almost freely on  $X$ .*

## 1. Introduction

The well-known Halperin conjecture [8, p. 271] about torus actions on topological spaces is behind many works in mathematics like the Hilali conjecture [12] and the inequality of Puppe [15 Theorem 1.1]: If  $X$  is a space on which an  $n$ -torus acts, we say the action is almost-free if each isotropy subgroup is finite. The largest integer  $n \geq 1$  for which  $X$  admits an almost free  $n$ -torus is called the toral rank of  $X$  and denoted  $rk(X)$ . If  $X$  does not admit any almost free torus action, then  $rk(X) = 0$ . Unfortunately  $rk(X)$  is not a homotopy invariant and is quite difficult to compute. To obtain a homotopy invariant, we introduce the rational toral rank,  $rk_0(X)$  that is, the maximum of  $rk(Y)$  among all finite CW complexes  $Y$  in the same rational homotopy type as  $X$ .

**Conjecture (The Toral rank conjecture).**

*If  $X$  is simply connected, then  $\dim H^*(X; \mathbb{Q}) \geq 2^{rk_0(X)}$ .*

**Conjecture (The Hilali conjecture).**

*If  $X$  is elliptic and simply connected, then  $\dim(\pi_*(X) \otimes \mathbb{Q}) \leq \dim(H^*(X; \mathbb{Q}))$ .*

**Theorem 1.1 (Puppe Inequality).**

*If  $X$  is simply connected, then  $\dim H^*(X; \mathbb{Q}) \geq 2rk_0(X)$ .*

In the present paper we give in section 2 an optimised proof of the theorem 1.1, and in section 3 we establish a new theorem with an improvement of the lower bound of the Puppe inequality.

## Acknowledgments

The authors are grateful to Aziz El Kacimi for pointing out a mistake in the original version of the paper and for suggesting examples.

## 2. New proof of the theorem 1.1

Let  $X$  be a simply connected topological space with an almost free  $T^n$ -action. We denote  $rk_0(X) = n$  and we suppose that  $n \neq 0$ .

The Sullivan minimal model of the classifying space  $B_{T^n}$  of the Lie group  $T^n$  is a polynomial ring denoted here  $R$ , with the following form:

$$R = (\Lambda(t_1, \dots, t_n), 0) \text{ with } \deg(t_i) = 2.$$

The associated Borel fibration [5, p. 53] of this action is:

$$X \longrightarrow X_{T^n} \longrightarrow B_{T^n}$$

According to Brown [6], there exists a complex of differential  $R$ -modules  $(R \otimes H^*(X; \mathbf{Q}), \Delta)$  with a quasi-isomorphism of  $R$ -modules :

$$\varrho : (R \otimes (H^*(X; \mathbf{Q}), \Delta) \rightarrow A_{PL}(X_{T^n})$$

Let  $\beta = \{\alpha_1, \dots, \alpha_p, \alpha_{p+1}, \dots, \alpha_{2p}\}$  be a basis of  $H^*(X, \mathbf{Q})$  such that:

$$|\alpha_i| \text{ is odd for : } 1 \leq i \leq p$$

$$|\alpha_i| \text{ is even for : } p+1 \leq i \leq 2p$$

The differential  $\Delta$  can be written for  $i, 1 \leq i \leq p$

$$\Delta(1 \otimes \alpha_i) = P_i \otimes 1 + \sum_{j=1}^p t_{ij} \alpha_{j+p}$$

where  $P_i$  is a homogeneous polynomial in  $(t_1, \dots, t_n)$ .

Lets consider the  $p \times p$  matrix over  $R$ ,  $M = (t_{ij})_{1 \leq i, j \leq p}$ , and lets denote

$$(2.1) \quad I = \left\{ \sum_{j=1}^p a_j P_j / M \begin{bmatrix} a_1 \\ \vdots \\ a_p \end{bmatrix} = 0 \right\}$$

$I$  is an ideal of  $R$ , and we have the following diagram:

$$\begin{array}{ccc} (R, 0) & \xrightarrow{i} & (R \otimes H^*(X, \mathbf{Q}), \Delta) \\ \downarrow p & & \nearrow \bar{i} \\ (\overline{R}, 0) & & \end{array}$$

where  $i$  is the canonical injection and  $p$  is the canonical surjection,  $\bar{i}$  is induced by passing  $i$  to the quotient.

By passing to cohomology the diagram hereinabove induces the following diagram:

$$\begin{array}{ccc} (R, 0) & \xrightarrow{i^*} & H(R \otimes H^*(X, \mathbf{Q}), \Delta) \\ \downarrow & & \nearrow \bar{i}^* \\ (\overline{R}, 0) & & \end{array}$$

$\overline{i}^*$  is injective because if  $\overline{a} \in \overline{R}$  such that  $\overline{i}^*(\overline{a}) = 0 = i^*(a)$  then

$$\begin{aligned} a \otimes 1 &= \Delta \left( \sum_{i=1}^p a_i \alpha_i \right) \\ &= \sum_{i=1}^p a_i P_i \otimes 1 + \sum_{i=1}^p a_i \left( \sum_{j=1}^p t_{ij} \alpha_{j+p} \right) \\ &= \sum_{i=1}^p a_i P_i \otimes 1 + \sum_{i=1}^p a_i \left( \left( \sum_{j=1}^p a_i t_{ij} \right) \alpha_{j+p} \right) \end{aligned}$$

Then  $a = \sum_{i=1}^p a_i t_{ij}$  and  $\sum_{i=1}^p a_i t_{ij} = 0 \forall i, 1 \leq i \leq p$ .

Hence  $a \in I$  so  $\overline{a} = 0$ .

Therefore  $\dim \overline{R} \leq \dim H^*(X_{T^n}; \mathbf{Q}) < \infty$ .

And  $\dim \overline{R} \geq n$  since  $\{\overline{t}_1, \dots, \overline{t}_n\}$  is a free family in  $\overline{R}$ .

In another hand since  $M$  is a square matrix of order  $p$  over the ring  $R$  then  $I$  is a free  $R$ -module of dimension  $N \leq p$ .

We have  $\dim R/I < \infty$  so  $\dim I = N \geq n$  witch implies that  $\dim H^*(X, \mathbf{Q}) \geq 2n$ .

### 3. An improvement of the lower bound of Puppe inequality

#### 3.1. The main theorem

Let  $X$  be a simply connected topological space with an almost free  $T^n$ -action, such that  $\dim H^*(X; \mathbf{C}) < \infty$ .

The associated Borel fibration [5, p. 53] of this action is:

$$X \longrightarrow X_{T^n} \longrightarrow B_{T^n}$$

$X_{T^n}$  has the Hirsch-Brown minimal model

$D_{T^n} = (H^*(B_{T^n}; \mathbf{C}) \otimes H^*(X; \mathbf{C}); \tilde{d})$  as a  $H^*(B_{T^n}; \mathbf{C})$ -Module [2, Section 1.3].

We define an increasing filtration  $F_q$  on  $H^*(X; \mathbf{C})$  by:

$$F_{-1} = 0$$

$$F_q = (\tilde{d}(x)|_{H^*(X; \mathbf{C})})^{-1}(H^*(B_{T^n}; \mathbf{C}) \otimes F_{q-1})$$

The length  $l(X)$  of  $H^*(X, \mathbf{C})$  is defined by :

$$l = \inf \{q \in \mathbf{N} / F_q = H^*(X, \mathbf{Q})\}.$$

We use the evaluation at  $\alpha = (\alpha_1, \dots, \alpha_n)$  to define the new space:

$$D_{T^n}(X)^\alpha = \mathbf{C} \otimes_{H^*(B_{T^n}; \mathbf{C})} D_{T^n}(X)$$

where the structure of this  $H^*(X; \mathbf{C})$ -module is defined by the map:

$$\begin{array}{ccc} H^*(B_{T^n}; \mathbf{C}) & \rightarrow & \mathbf{C} \\ t_i & \mapsto & \alpha_i \end{array}$$

we know by [3, theorem 4-1], that for  $\alpha \neq (0, \dots, 0)$  we have:

$$H^*(D_{T^n}(X)^\alpha, \tilde{d}_\alpha) = 0.$$

The coboundary  $\tilde{d}_\alpha$  is given by  $\tilde{d}$  evaluated at  $\alpha \in \mathbf{C}$  ([2, p. 26]),

Now for every  $q, 0 \leq q \leq l$ , we define  $A_q$  to be a complement of  $F_{q-1}$  in  $F_q$  :

$$F_q = A_q \oplus F_{q-1}$$

The main result of this article is an improvement of the lower bound of Puppe inequality expressed in the following theorem:

**Theorem 3.1.** *Let  $X$  be a simply connected topological space with an almost free  $T^n$ -action, we denote  $n = rk_0(X)$  for  $n \geq 4$  we always have  $\dim H^*(X; \mathbf{Q}) \geq 3n - 2$*

The proof of this theorem is based on the following lemma:

**Lemma 3.2.** *Under the same conditions as the theorem above one has:  $\dim A_1 \geq n$ .*

**Definition 3.3.** [17, vol 1, p. 90] *By  $\mathbf{P}^n$  we denote  $n$ -dimensional projective space over  $\mathbf{C}$ . A projective algebraic variety  $V$  is an algebraic subset of  $\mathbf{P}^n$ , that is, the zero-set of some homogeneous polynomials  $f_i, i \in I$ , in the homogeneous coordinates  $(x_0, \dots, x_n)$  of  $\mathbf{P}^n$ :  $V = \{(x_0, \dots, x_n) | f_i(x_0, \dots, x_n) = 0, i \in I\}$ .*

**Proof of the lemma 3.2** According to Puppe [16, p. 7], we know that  $l \geq n$ , and  $\dim A_q \geq 2$ , for every  $q, 1 \leq q \leq l - 1$ .

Let  $\{a_1, \dots, a_r\}$  and  $\{b_1, \dots, b_s\}$  be two bases of  $A_1$  and  $A_0$ . For each  $i, 1 \leq i \leq r$  we can write:

$$\tilde{d}(a_i) = p_i b_1 + \omega_i;$$

where  $p_i$  are homogeneous polynomials on  $t_1, \dots, t_n$  and  $\omega_i$  is a linear composition of  $b_2, \dots, b_s$  over  $\mathbf{Q}[t_1, \dots, t_n]$ . If we suppose that  $r < n$ , then the

algebraic variety  $V(p_1, \dots, p_r)$  is different from  $\{(0, \dots, 0)\}$ . Hence we can take  $\alpha \in V(p_1, \dots, p_r) \setminus \{(0, \dots, 0)\}$  such that:

$$\begin{aligned}\tilde{d}_\alpha b_1 &= 0 \text{ (because } F_0 = A_0 = \ker(\tilde{d})\text{).} \\ \tilde{d}_\alpha a_i &= \omega_i \text{ for } 1 \leq i \leq r.\end{aligned}$$

This shows us that the  $\tilde{d}_\alpha$ -cocycle  $b_1$  is not a zero in  $H^*(D_{T^n}(X)^\alpha, \tilde{d}_\alpha)$  which is absurd.

**Proof of the Theorem 3.1** Let's denote  $m_q = \dim A_q, 0 \leq q \leq l$ . Then we have  $\dim H^*(X; \mathbf{Q}) = \sum_{q=0}^l m_q$ .

One has:

$$\begin{aligned}\dim H^*(X; \mathbf{Q}) &\geq m_0 + m_1 + m_l + \sum_{q=2}^{l-1} m_q \\ &\geq 2 + n + 2(n-1). \\ &\geq 3n - 2.\end{aligned}$$

### 3.2. Examples

**Remark 3.4.** The theorem 3.1 gives a measurement of the obstruction of a manifold to have an almost free  $T^n$ -action, for example a compact simply connected manifold  $M$  with the sum of it's Betti numbers  $< 3n - 2$  can't have an almost free  $T^n$ -action.

**Example 3.5.** The toral rank of the manifold  $M = (\mathbf{S}^{2n+1})^r$  is equal to  $r$  and the sum of it's Betti numbers is equal to  $2^r$  [8, p. 284], We have  $\dim H^*((\mathbf{S}^{2n+1})^4; \mathbf{Q}) = 16 < 3 \times 7 - 2 = 19$  so  $(\mathbf{S}^{2n+1})^4$  can't have an almost free  $T^7$ -action.

**Remark 3.6.** In 2012 M. Amann [4, Theorem A] established the following result:

**Theorem A.** *If an  $n$ -torus  $T$  acts almost freely on a finite-dimensional paracompact Hausdorff space  $X$ , then  $\dim H^*(X; \mathbf{Q}) \geq 2(n + \lceil n/3 \rceil)$*

$X$  may be taken to be a finite CW-complex or a compact manifold.

It's clear that starting from  $n=7$  the theorem 3.1 gives a greater lower bound than theorem A .

## References

- [1] C. Allday and S. Halperin, Lie group actions on spaces of finite rank, *Quart. J. Math. Oxford* (2) 29, pp. 63-76, (1978).
- [2] C. Allday and V. Puppe, Cohomological methods in transformation groups, volume 32 of *Cambridge Studies in Advanced Mathematics*. Cambridge University Press, Cambridge, (1993).
- [3] C. Allday and V. Puppe, On the localization theorem at the cochain level and free torus actions, *Algebraic topology Göttingen 84*, Proceedings, Springer lect. Notes in Math 1172, pp. 1-16, (1985).
- [4] M. Amann, Cohomological consequences of almost free torus actions *arXiv:1204.6276*, Vol. 1 27, April (2012).
- [5] A. Borel, Seminar on transformation groups *Ann. of math Studies* n° 46. Princeton New Jersey.
- [6] E. H. Brown, Twisted tensor product I, *Ann. of Math* vol. 69, pp. 223-246, (1959).
- [7] Y. Félix, S. Halperin, and J.-C. Thomas, Rational homotopy theory, volume 205 of *Graduate Texts in Mathematics*. Springer-Verlag, New Yo, (2001).
- [8] Y. Félix, J. Oprea, and D. Tanré, Algebraic models in geometry, volume 17 of *Oxford Graduate Texts in Mathematics*. Oxford University Press, Oxford, (2008).
- [9] S. Halperin, Finiteness in the minimal models of Sullivan, *Trans. A. M. S.* 230, pp. 173-199, (1977).
- [10] S. Halperin, Rational homotopy and torus actions, *London Math. Soc. Lecture Note Series* 93, Cambridge Univ. Press, pp. 293-306, (1985).
- [11] M. R. Hilali, Sur la conjecture de Halperin relative au rang torique. *Bull. Belg. Math. Soc. Simon Stevin* 7, No. 2, pp. 221-227, (2000).
- [12] M. R. Hilali, Actions du tore  $T^n$  sur les espaces simplement connexes. Thèse à l'Université catholique de Louvain, (1990).

- [13] W. Y. Hsiang, Cohomology theory of topological transformation groups, Berlin-Heidelberg-New York, Springer, (1975).
- [14] I. M. James, reduced product spaces, Ann. of math 82, pp. 170-197, (1995).
- [15] V. Puppe, Multiplicative aspects of the Halperin-Carlsson conjecture, Georgian Mathematical Journal, (2009), 16:2, pp. 369-379, arXiv 0811.3517.
- [16] V. Puppe, On the torus rank of topological spaces, Proceeding Baker, (1987).
- [17] I. R. Shafarevich, Basic Algebraic Geometry, 2 Vols., Springer, (1994).
- [18] Yu. Ustinovskii, On almost free torus actions and Horrocks conjecture, (2012), arXiv 1203.3685v2.

**Hassan Aaya**

University Hassan II,  
Casablanca  
Morocco  
e-mail : aaya.hassan@gmail.com

**Mohamed Anas Hilali**

University Hassan II,  
Casablanca  
Morocco  
e-mail : moahilali@gmail.com

**Mohamed Rachid Hilali**

University Hassan II,  
Casablanca  
Morocco  
e-mail : rhilali@hotmail.fr

and

**Tarik Jawad**

University Hassan II,  
Casablanca  
Morocco  
e-mail : j\_tar@hotmail.fr