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# NONLINEAR ELLIPTIC PROBLEMS WITH RESONANCE AT THE TWO FIRST EIGENVALUE : A VARIATIONAL APPROACH

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## Abstract

*We study the nonlinear elliptic problems with Dirichlet boundary condition*

$$\begin{cases} -\Delta_p u &= f(x, u) & \text{in } \Omega \\ u &= 0 & \text{on } \partial\Omega \end{cases}$$

*Resonance conditions at the first or at the second eigenvalue will be considered.*

**KEY WORDS** : *p-laplacian, eigenvalue, resonance, variational method.*

## 1. INTRODUCTION

Let us consider the Dirichlet problem

$$(1.1) \text{ where } \begin{cases} -\Delta_p u = f(x, u) & \text{in } \Omega \\ u = 0 & \text{on } \partial\Omega \end{cases}$$

$\Omega$  is a bounded smooth domain in  $N$  ( $N \geq 1$ ) and the nonlinearity  $f : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$  is assumed to be a Carathéodory function with subcritical growth, that is:

$$(f_0) \quad |f(x, s)| \leq a|s|^{q-1} + b \quad \forall s \in \mathbb{R}; \text{ a.e. } x \in \Omega$$

for some constants  $a, b > 0$ , where  $1 \leq q < p^*$ , if  $N > p$  and  $1 \leq q < +\infty$  if  $N \leq p$ , with  $\frac{1}{p^*} = \frac{1}{p} - \frac{1}{N}$ .

$\Delta_p, 1 < p < \infty$  is the  $p$ -laplacian  $\Delta_p u = \operatorname{div}(|\nabla u|^{p-2} \nabla u)$ . The operator  $\Delta_p$  with  $p \neq 2$  arises from a variety of physical phenomena. It is used in non-Newtonian fluids, in some reaction-diffusion problems as well as in flow through porous media. It appears also in nonlinear elasticity, glaciology and petroleum extraction. The linear case when  $p = 2$  has been studied by many authors, see e.g [13], [9], [6] ...

The nonlinear case ( $p \neq 2$ ), when the nonlinearity  $\frac{pF(x,s)}{|s|^p}$  stays asymptotically between  $\lambda_1$  and  $\lambda_2$ , where  $F(x, s)$  denotes the primitive  $F(x, s) = \int_0^s f(x, t) dt$  and  $\lambda_1, \lambda_2$  are the first and the second eigenvalues of  $-\Delta_p$  on  $W_0^{1,p}(\Omega)$ , has been studied by just a few authors. A contribution in this direction is [12] where the authors use a topological method to study the case  $N = 1$ . Another contribution was made by João Marcos B. do Ó in [14] who studied the case when  $F(x, s)$  interacts only with the first eigenvalue. In this paper, we will consider three situations.

**The first situation** is the resonance on the right side of the first eigenvalue, we will prove the following results :

**Theorem 1.1.** *Suppose that*

$$c_{1.1}) \quad \lim_{|s| \rightarrow +\infty} [sf(x, s) - pF(x, s)] = -\infty \quad \text{uniformly for a.e. } x \in \Omega$$

$$c_{2.1}) \quad \lambda_1 \leq \liminf_{|s| \rightarrow +\infty} \frac{pF(x, s)}{|s|^p} \quad \text{uniformly for a.e. } x \in \Omega$$

$$c_{3.1}) \quad \limsup_{s \rightarrow 0} \frac{pF(x, s)}{|s|^p} \leq \beta < \lambda_1 \quad \text{uniformly for a.e. } x \in \Omega$$

then the problem (1.1) has a nontrivial solution  $u \in W_0^{1,p}(\Omega)$ .

**Theorem 1.2.** *Suppose that*

$$c_{1.2}) \quad \lim_{|s| \rightarrow +\infty} [sf(x, s) - pF(x, s)] = +\infty \quad \text{uniformly for a.e. } x \in \Omega$$

$$c_{2.2}) \quad F(x, s) \leq A|s|^p + B(x) \quad B(\cdot) \in L^1(\Omega)$$

$$c_{3.2}) \quad \limsup_{s \rightarrow 0} \frac{pF(x, s)}{|s|^p} \leq \beta < \lambda_1 \quad \text{uniformly for a.e. } x \in \Omega$$

$$c_{4.2}) \quad \int_{\Omega} F(x, t_0\varphi_1) dx - \frac{t_0^p}{p} > 0 \quad \text{for at least one } t_0 > 0.$$

$\varphi_1$  is a  $\lambda_1$ -eigenfunction with  $\|\varphi_1\| = (\int_{\Omega} |\nabla\varphi_1|^p)^{\frac{1}{p}} = 1$ . Then the problem (1.1) possesses a nonzero solution  $u \in W_0^{1,p}(\Omega)$ .

**Remarks**

1. the condition  $c_{3.1}$ ) and  $c_{3.2}$ ) can be replaced by

$$F(x, s) \leq 0 \quad \text{for } |s| \leq \delta \quad (\delta > 0)$$

2. In the condition  $c_{1.2}$ ) of theorem 1.2 we can replace  $+\infty$  by  $-\infty$ , in this case the theorem can be proved without condition  $c_{2.2}$ ).

**The second situation** is the resonance between the two first consecutive eigenvalues. To state our result, let us denote by  $l(x), k(x)$  and  $\tilde{L}(x)$  the corresponding limits

$$l(x) = \liminf_{|s| \rightarrow +\infty} \frac{f(x, s)}{|s|^{p-2}s} ; k(x) = \limsup_{|s| \rightarrow +\infty} \frac{f(x, s)}{|s|^{p-2}s} ; \tilde{L}(x) = \liminf_{|s| \rightarrow +\infty} [pF(x, s) - sf(x, s)].$$

These limits are taken uniformly for a.e.  $x \in \Omega$ .

**Theorem 1.3.** *Suppose that*

$$c_{1.3}) \quad \lambda_1 \leq l(x) \leq k(x) \leq \beta < \lambda_2$$

$$c_{2.3}) \quad \tilde{L}(\cdot) \in L^1(\Omega) \quad \text{and} \quad \int_{\Omega} \tilde{L}(x) dx \geq 0$$

$$c_{3.3}) \quad F(x, s) \leq 0 \quad \text{for } |s| \leq \delta \quad \text{with } (\delta > 0)$$

$$c_{4.3}) \quad \text{there exists } t_0 > 0 \quad \text{such that} \quad \int_{\Omega} F(x, t_0\varphi_1(x)) dx - \frac{t_0^p}{p} > 0.$$

Then the problem (1.1) has a nontrivial solution.

**Remarks**

1. Instead of the condition  $c_{2,3}$ , we can assume

$$\limsup_{|s| \rightarrow +\infty} [pF(x, s) - sf(x, s)] = \tilde{K}(\cdot) \in L^1(\Omega) \text{ and } \int_{\Omega} \tilde{K}(x) dx \leq 0$$

2. the condition  $c_{3,3}$  can be replaced by

$$\limsup_{s \rightarrow 0} \frac{pF(x, s)}{|s|^p} \leq \beta < \lambda_1$$

**The third situation** is the resonance on the left side of the first eigenvalue, we will prove the following :

**Theorem 1.4.** *Assume that*

$$c_{1.4}) \quad |F(x, s)| \leq A|s|^p + B$$

$$c_{2.4}) \quad K(x) = \limsup_{|s| \rightarrow +\infty} \frac{pF(x, s)}{|s|^p} \leq \lambda_1 \quad \text{uniformly for a.e. } x \in \Omega.$$

$c_{3.4}$ ) there exists  $R(\cdot) \in L^1(\Omega)$  such that  $\int_{\Omega} R(x) dx \geq 0$  and

$$\liminf_{|s| \rightarrow +\infty} [pF(x, s) - sf(x, s)] \geq R(x) \quad \text{uniformly for a.e. } x \in \Omega$$

$$c_{4.4}) \quad F(x, s) \leq 0 \text{ for } |s| \leq \delta \text{ } (\delta > 0)$$

$$c_{5.4}) \quad \text{there exists } t_0 > 0 \text{ such that } \int_{\Omega} F(x, t_0 \varphi_1(x)) dx - \frac{t_0^p}{p} > 0$$

Then the problem (1.1) possesses a nonzero solution.

In the final section, we will give examples to illustrate our results.

**2. PROOF OF THE MAIN RESULTS**

We start recalling a compactness condition of the Palais Smale type which was introduced by Cerami and which allows rather general min-max results.

A functional  $I \in^1(E, \cdot)$ ,  $E$  is a real Banach space, is said to satisfy the condition  $(\cdot)$  at the level  $c$   $(\cdot)_c$  if the following holds :

$c_i$ ) any bounded sequence  $(u_n)$  such that  $I(u_n) \rightarrow c$  and  $I'(u_n) \rightarrow 0$  possesses a convergent subsequence.

$c_{ii}$ ) there exists constants  $\delta, R, \alpha > 0$  such that  $\|I'(u)\| \|u\| \geq \alpha$  for any  $u \in I^{-1}([c - \delta, c + \delta])$  with  $\|u\| \geq R$ .

**Remark.** Using assumption  $(f_0)$  the functional

$$\Phi(u) = \int_{\Omega} \frac{1}{p} |\nabla u|^p - \int_{\Omega} F(x, u(x)) dx$$

is well defined and of class  $C^1$  on the Sobolev space  $W_0^{1,p}(\Omega)$  with derivative

$$\Phi'(u)v = \int_{\Omega} |\nabla u|^{p-2} \nabla u \nabla v - \int_{\Omega} f(x, u)v dx \quad \text{for all } u, v \in W_0^{1,p}(\Omega).$$

Thus, the critical points of  $\Phi$  are precisely the weak solutions of (1.1). Moreover, the condition  $c_i$ ) yields for every  $c \in \mathbb{R}$ .

Denote the norm in  $W_0^{1,p}(\Omega)$  by  $\|\cdot\|$  ( $\|u\|^p = \int_{\Omega} |\nabla u|^p$ ) and the norm in  $L^q(\Omega)$  by  $\|\cdot\|_q$  ( $\|u\|_q = (\int_{\Omega} |u|^q)^{\frac{1}{q}}$ ). To obtain a nontrivial critical point of the functional  $\Phi$ , we will apply the following version of the Mountain-Pass theorem, with condition  $(\Phi)$

**Theorem 2.1.** *Let  $E$  be a real Banach space and  $I \in C^1(E, \mathbb{R})$  satisfying condition  $(\Phi)_c$ , for every  $c > 0$ .*

*Suppose that  $I(0) = 0$ , and for some  $\alpha, \rho > 0$  and  $e \in E$  with  $\|e\| > \rho$ , one has  $\alpha \leq \inf_{\|u\|=\rho} I(u)$  and  $I(e) < 0$ , then  $I$  has a critical value  $c \geq \alpha$  characterized by*

$$c = \inf_{h \in \Gamma} \sup_{0 \leq t \leq 1} I(h(t)) \quad \text{where } \Gamma = \{h \in C([0, 1], E) : h(0) = 0, h(1) = e\}.$$

**Remark.** It is not difficult to see that the same proof of the standard Mountain-Pass theorem applies to the present context, since the deformation theorem, (theorem 1.3) in [5] is obtained with condition  $(\Phi)$  in Banach space.

To prove the theorems in the first situation, we need the following preliminary lemmas.

**Lemma 2.1.** Assume  $(f_0)$  and  $c_{1.1})$  if  $c_{3.1})$  holds then, there exists  $\rho, \alpha > 0$  such that

$$\Phi(u) \geq \alpha \quad \text{if} \quad \|u\| = \rho$$

**Proof.** Using  $(f_0)$  and  $c_{1.1})$  it is easy to show that

$$(1) \quad F(x, s) \leq A|s|^p + B$$

for some constants  $A, B > 0$ .

Choosing  $\varepsilon > 0$  such that  $\beta + \varepsilon < \lambda_1$ , in view of  $c_{3.1})$  and the inequality (1) there exists  $\tilde{A} = \tilde{A}(\varepsilon) \geq 0$  such that

$$F(x, s) \leq \frac{1}{p}(\beta + \varepsilon)|s|^p + \tilde{A}|s|^q$$

we may assume  $q > p$ , with the Poincaré inequality  $\lambda_1 \|u\|_p^p \leq \|u\|^p$  and the Sobolev inequality  $\|u\|_q^q \leq K \|u\|^q$ , we obtain the estimate

$$\Phi(u) \geq \frac{1}{p} \left( 1 - \frac{\beta + \varepsilon}{\lambda_1} \right) \|u\|^p - \tilde{A}K \|u\|^q.$$

Thus

$$\Phi(u) \geq \left( \frac{1}{p} \left( 1 - \frac{\beta + \varepsilon}{\lambda_1} \right) - \tilde{A}K \|u\|^{q-p} \right) \|u\|^p.$$

So taking  $\rho = \left[ \frac{1}{2p} \left[ 1 - \frac{\beta + \varepsilon}{\lambda_1} \right] \frac{1}{\tilde{A}K} \right]^{\frac{1}{q-p}}$  and  $\alpha = \frac{1}{2p} \left( 1 - \frac{\beta + \varepsilon}{\lambda_1} \right) \rho^p$ , we obtain  $\Phi(u) \geq \alpha$  if  $\|u\| = \rho$ . Then the proof of lemma 2.1 is now complete.

The next result is standard (cf [7] e.g )

**Lemma 2.2.** Assume  $c_{1.1})$  and  $c_{2.1})$ , then we have

$$\lim_{|s| \rightarrow +\infty} F(x, s) - \frac{\lambda_1}{p} |s|^p = +\infty \quad \text{uniformly for a.e. } x \in \Omega$$

It follows from lemma 2.2 above that there exists  $R_0 > 0$  such that

$$(2) \quad F(x, s) - \frac{\lambda_1}{p} |s|^p \geq 0 \quad \text{for all } |s| \geq R_0.$$

On the other hand we claim  $(F(x, t\varphi_1(x)) - \frac{\lambda_1}{p}|t\varphi_1|^p)_{t \in \mathbb{R}}$  is bounded below ( $\varphi_1$  is  $\lambda_1$  normalized eigenfunction: that is  $\|\varphi_1\|^p = \lambda_1 \int_{\Omega} |\varphi_1|^p = 1$ ), Indeed, we consider the set  $\Omega_0 = \{x \in \Omega : |t\varphi_1(x)| \geq R_0\}$ , in view of (2) we have

$$(3) \quad F(x, t\varphi_1(x)) - \frac{\lambda_1}{p}|t\varphi_1|^p \geq 0 \quad \text{for all } x \in \Omega_0.$$

If  $x \notin \Omega_0$ , (f<sub>0</sub>) yields

$$(4) \quad F(x, t\varphi_1(x)) - \frac{\lambda_1}{p}|t\varphi_1|^p \geq B_0 \quad \text{for some } B_0 \in \mathbb{R}$$

and using (3) and (4) the desired result follows.

**Lemma 2.3.** *Assume (f<sub>0</sub>), c<sub>1,1</sub>) and c<sub>2,1</sub>), then there exists  $R_1 > 0$  ( $R_1 > \rho$ ) such that*

$$\int_{\Omega} F(x, R_1\varphi_1(x)) dx - \frac{R_1^p}{p} > 0.$$

This means  $\Phi(R_1\varphi_1) < 0$ .

**Proof.** Suppose by negation that there exists a sequence  $(t_n)$  such that

$$(5) \quad |t_n| \rightarrow +\infty \text{ and } \int_{\Omega} F(x, t_n\varphi_1(x)) dx - \frac{|t_n|^p}{p} \leq 0.$$

Since  $\lambda_1 \int_{\Omega} |\varphi_1|^p dx = 1$ , (5) is equivalent to

$$\int_{\Omega} (F(x, t_n\varphi_1(x)) - \frac{\lambda_1}{p}|t_n\varphi_1|^p) dx \leq 0$$

thus

$$(6) \quad \liminf_{n \rightarrow +\infty} \int_{\Omega} (F(x, t_n\varphi_1(x)) - \frac{\lambda_1}{p}|t_n\varphi_1|^p) dx \leq 0.$$

On the other hand, using lemma 2.2, Fatou's lemma with

$$h_n = F(x, t_n \varphi_1(x)) - \frac{\lambda_1}{p} |t_n \varphi_1|^p \text{ gives}$$

$$\liminf_{n \rightarrow +\infty} \int_{\Omega} h_n(x) dx \geq \int_{\Omega} \liminf_{n \rightarrow +\infty} h_n(x) dx = +\infty$$

which contradicts (6), then the proof is complete.

**Lemma 2.4.** *Assume  $(f_0)$  and  $c_{1.1}$ ), then the functional  $\Phi$  satisfies  $(\ )_c$  for every  $c \in \mathbb{R}$ .*

**Proof.** Let us assume by negation, that  $\Phi$  does not satisfy  $(\ )_c$  for some  $c \in \mathbb{R}$ , then there exists a sequence  $(u_n)$  such that

$$(7) \quad \Phi'(u_n)u_n \rightarrow 0, \quad \Phi(u_n) \rightarrow c, \text{ and } \|u_n\| \rightarrow +\infty.$$

It follows that

$$(8) \quad \lim_{n \rightarrow +\infty} \int_{\Omega} (pF(x, u_n) - u_n f(x, u_n)) dx = -pc.$$

A subsequence of  $v_n (v_n = \frac{u_n}{\|u_n\|})$  (still denoted by  $(v_n)$ ) is such that

$$v_n \rightharpoonup v \text{ weakly in } W_0^{1,p}(\Omega)$$

$$v_n \rightarrow v \text{ strongly in } L^p(\Omega)$$

$$v_n(x) \rightarrow v(x), \text{ a.e. } x \in \Omega \text{ and } |v_n(x)| \leq z(x), z(\cdot) \in L^p(\Omega).$$

Using (1) and (7) we conclude that

$$\frac{1}{p} \|u_n\|^p - A \|u_n\|_p^p - B \leq K'$$

for some constant  $K'$ , therefore

$$\frac{1}{p} - A \|v\|_p^p \leq 0.$$

So that  $v \neq 0$ . Let us define  $\Omega_1 = \{x \in \Omega : v(x) \neq 0\}$ , we have

$$\text{mes}(\Omega_1) > 0 \text{ and } |u_n(x)| \rightarrow +\infty \text{ a.e. } x \in \Omega_1$$

using  $(f_0)$  and  $c_{1.1}$ , we conclude that

$$pF(x, u_n) - u_n f(x, u_n) \geq M \quad \text{for some constant } M \in$$

and

$$\lim_{n \rightarrow +\infty} (pF(x, u_n) - u_n f(x, u_n)) = +\infty \quad \text{a.e. } x \in \Omega_1$$

However, Fatou's lemma gives

$$\lim_{n \rightarrow +\infty} \int_{\Omega} (pF(x, u_n) - u_n f(x, u_n)) \, dx = +\infty$$

which contradicts (8) and shows that (7) can not occur. Then the proof of lemma 2.4 is complete.

**Proof of theorem 1.1.** In view of lemmas 2.1, 2.3, 2.4 we may apply theorem 2.1 taking  $e = R_1 \varphi_1$ , it follows that the functional  $\Phi$  has a critical value  $c_0 \geq \alpha > 0$ , and, hence that problem (1.1) has a nontrivial solution  $u_0 \in W_0^{1,p}(\Omega)$ .

**The proof of theorem 1.2.** is similar to that of theorem 1.1 and is omitted. To prove the theorem 1.3 we will use the following lemmas.

**Lemma 2.5.** Assume  $(f_0)$ ,  $c_{1.3}$  and  $c_{2.3}$  then the functional  $\Phi$  satisfies  $(C)_c$  for every  $c > 0$ .

**Proof.** From  $(f_0)$  and  $c_{1.3}$  it follows that there exists constants  $a$  and  $b$  such that

$$(9) \quad |f(x, s)| \leq a|s|^{p-1} + b.$$

Now, suppose by negation, that  $\Phi$  does not satisfy  $(C)_c$  for some  $c > 0$ , then there exists a sequence  $(u_n)$  such that (7) holds.

Let us define  $v_n = \frac{u_n}{\|u_n\|}$ ,  $f_n = \frac{f(x, u_n)}{\|u_n\|^{p-1}}$ , passing to subsequence of  $v_n$  (respectively  $f_n$ ), still denoted by  $(v_n)$  (respectively  $f_n$ ) we may assume that :

$v_n \rightharpoonup v$  weakly in  $W_0^{1,p}(\Omega)$ ,  $v_n \rightarrow v$  strongly in  $L^p(\Omega)$  and a.e.  $x \in \Omega$ ,  $f_n \rightharpoonup \tilde{f}$  in  $L^p(\Omega)$ .

We have the following claim which is inspired from [7].

**Claim 1**

$$1. \lambda_1 \leq \frac{\tilde{f}}{|v|^{p-2}v} \leq \beta \quad \text{if } v \neq 0$$

$$2. \tilde{f}(x) = 0 \quad \text{if } v = 0.$$

Letting,  $m(\cdot) = \frac{\tilde{f}}{|v|^{p-2}v}$  if  $v \neq 0$  and  $m(\cdot) = \frac{1}{2}(\lambda_1 + \beta)$  if  $v = 0$ .

By (7) we have  $|\Phi'(u_n)w| \leq \varepsilon_n \|w\|$  for all  $w \in W_0^{1,p}(\Omega)$ , where  $\varepsilon_n \rightarrow 0$ , therefore

$$\frac{|\Phi'(u_n)u_n|}{\|u_n\|^p} = \left| 1 - \int_{\Omega} \frac{f(x, u_n)}{\|u_n\|^{p-1}} v_n \right| \leq \frac{\varepsilon_n}{\|u_n\|^{p-1}}$$

hence

$$\int_{\Omega} \frac{f(x, u_n)}{\|u_n\|^{p-1}} v_n \rightarrow 1$$

passing to the limit, we obtain  $\int_{\Omega} \tilde{f}v = 1$ , so that  $v \neq 0$ . On the other hand, for any  $w \in W_0^{1,p}(\Omega)$  we have

$$\left| \frac{\Phi'(u_n)}{\|u_n\|^{p-1}} w \right| = \left| \int_{\Omega} |\nabla v|^{p-2} \nabla v \nabla w - \int_{\Omega} \frac{f(x, u_n)}{\|u_n\|^{p-1}} w \right| \leq \varepsilon_n \frac{\|w\|}{\|u_n\|^{p-1}}$$

passing to the limit, we conclude

$$\int_{\Omega} |\nabla v|^{p-2} \nabla v \nabla w - \int_{\Omega} \tilde{f}w = 0$$

that is

$$\int_{\Omega} |\nabla v|^{p-2} \nabla v \nabla w - \int_{\Omega} m(\cdot) |v|^{p-2} v w = 0 \quad \forall w \in W_0^{1,p}$$

in other words,  $v$  is a weak solution of the following problem

$$(P_m) \begin{cases} -\Delta_p u = m(\cdot) |u|^{p-2} u & \text{in } \Omega \\ u = 0 & \text{on } \partial\Omega \end{cases}$$

The result above and claim 1 imply

$$(10) \quad 1 \in \sigma(-\Delta_p, m(\cdot)) \text{ and } \lambda_1 \leq m(\cdot) \leq \beta < \lambda_2$$

if  $\lambda_1 m(\cdot)$  (that is  $\lambda_1 < m(\cdot)$  on subset of  $\Omega$  of positive measure), then by the second part of (10), the strict monotonicity of  $\lambda_1$  (cf [11]) and the strict partial monotonicity of  $\lambda_2$  (cf [4]), we have

$$\lambda_1(m(\cdot)) < \lambda_1(\lambda_1(1)) = 1 \text{ and } \lambda_2(m(\cdot)) > \lambda_2(\lambda_2(1)) = 1$$

thus

$$(11) \quad \lambda_1(m(\cdot)) < 1 < \lambda_2(m(\cdot)).$$

Since  $\sigma(-\Delta_p, m(\cdot)) \cap ]\lambda_1(m(\cdot)), \lambda_2(m(\cdot)) [= \emptyset$  (cf [4]), the first part of (10) and (11) are in contradiction, hence  $m(\cdot) = \lambda_1$  and  $v$  is a  $\lambda_1$  eigenfunction, so it follows that

$$(12) \quad |u_n(x)| \rightarrow +\infty \text{ a.e. } x \in \Omega.$$

On the other hand by (8) we have

$$(13) \quad \lim_{n \rightarrow +\infty} \int_{\Omega} pF(x, u_n) - u_n f(x, u_n) dx = -pc$$

combining (12) and  $c_{2.3}$ ), Fatou's lemma yields

$$\int_{\Omega} \tilde{L}(x) dx \leq \liminf_{n \rightarrow +\infty} \int_{\Omega} pF(x, u_n) - u_n f(x, u_n) dx.$$

Via (13) we obtain

$$\int_{\Omega} \tilde{L}(x) dx \leq -pc < 0$$

which gives a contradiction, then the proof of lemma 2.5 is complete.

**Lemma 2.6.** *Assume  $(f_0)$ ,  $c_{1.3}$  and  $c_{3.3}$ ), then there exists  $\rho, \alpha > 0$  such that  $\Phi(u) \geq \alpha$  if  $\|u\| = \rho$*

**Proof.** Since  $\int_{|u(x)| \leq \delta} F(x, u(x)) dx \leq 0$ , we have

$$\Phi(u) \geq \frac{1}{p} \|u\|^p - \int_{|u(x)| > \delta} F(x, u(x)) dx.$$

On the other hand we have

$$(14) \quad \limsup_{\|u\| \rightarrow 0} \int_{|u(x)| > \delta} \frac{F(x, u(x))}{\|u\|^p} dx \leq 0$$

indeed, assume that (14) is false, then we can find a sequence  $(u_n)$  and  $\varepsilon > 0$  such that

$$(15) \quad \|u_n\| \rightarrow 0 \quad \text{and} \quad \int_{|u(x)| > \delta} \frac{F(x, u_n(x))}{\|u_n\|^p} \geq \varepsilon.$$

From (9) we deduce

$$(16) \quad F(x, s) \leq \tilde{K}|s|^p \quad \text{for } |s| \geq \delta,$$

and by (15) and (16), we conclude

$$(17) \quad \int_{\Omega} \tilde{K}|v_n(x)|^p \chi_n(x) dx \geq \varepsilon,$$

where  $v_n = \frac{u_n}{\|u_n\|}$ ,  $\chi_n(x) = 1$  if  $|u_n(x)| > \delta$  and  $\chi_n = 0$  if  $|u_n(x)| \leq \delta$ .

Since  $\|u_n\| \rightarrow 0$ , then  $u_n(x) \rightarrow 0$  and  $\chi_n \rightarrow 0$ , so passing to the limit in the inequality (17) we get a contradiction.

Now, via (14) choosing  $\rho > 0$  ( $\rho < t_0, t_0$  given in  $c_{4.3}$ ) such that

$$\int_{|u(x)| > \delta} \frac{F(x, u(x))}{\|u\|^p} \leq \frac{1}{2p} \quad \text{for } \|u\| = \rho,$$

then, for  $\|u\| = \rho$  we obtain

$$\Phi(u) \geq \frac{1}{2p}\rho^p.$$

To conclude the proof, take  $\alpha = \frac{1}{2p}\rho^p$ .

**Proof of theorem 1.3.** In view of lemmas 2.5 and 2.6 we may apply theorem 2.1 letting  $e = t_0\varphi_1$ . It follows that the functional  $\Phi$  has a critical value  $c \geq \alpha > 0$ .

**Lemma 2.7.** Assume  $(f_0), c_{1.4}, c_{2.4}, c_{3.4}$  then the functional  $\Phi$  satisfies the condition  $(c)$  for every  $c > 0$ .

**Proof.** Assume by contradiction that there exists  $c > 0$  and a sequence  $(u_n)$  in  $W_0^{1,p}(\Omega)$  such that (7) holds. Then a subsequence of  $(v_n)$ , still denoted by  $(v_n)$ , where  $(v_n = \frac{u_n}{\|u_n\|})$  is such that

$$v_n \rightharpoonup v \quad \text{weakly in } W_0^{1,p}(\Omega)$$

$$\begin{aligned} v_n &\rightarrow v \quad \text{strongly in } L^p(\Omega) \\ v_n(x) &\rightarrow v(x), \text{ a.e. } x \in \Omega \\ |v_n(x)| &\leq h(x) \text{ where } h(\cdot) \in L^p. \end{aligned}$$

In view of (7) we have

$$\frac{1}{p} \|u_n\|^p - \int_{\Omega} F(x, u_n) dx \leq c' \quad (c' \in \mathbb{R})$$

thus by  $c_{1.4}$ ) and  $c_{2.4}$  we obtain

$$\frac{1}{p} \|u_n\|^p - \frac{(\lambda_1 + \varepsilon)}{p} \|u_n\|_p^p - \|B\| \leq c'$$

then

$$\frac{1}{p} - \frac{(\lambda_1 + \varepsilon)}{p} \|v_n\|_p^p - 0(n) \leq 0(n).$$

Passing to the limit in the above inequality, we obtain

$$\frac{1}{p} - \frac{\lambda_1}{p} \|v\|_p^p \leq 0. (18)$$

Since  $\lambda_1 \|v\|_p^p \leq \|v\|^p \leq 1$ , from (18) we conclude that

$$v \neq 0 \text{ and } \|v\|^p = \lambda_1 \|v\|_p^p$$

hence  $v$  is a  $\lambda_1$  eigenfunction, therefore

$$(19) \quad |u_n(x)| \rightarrow +\infty \quad \text{a.e. } x \in \Omega.$$

On the other hand (7) gives

$$\lim_{n \rightarrow +\infty} \int_{\Omega} (pF(x, u_n) - u_n f(x, u_n)) dx = -pc.$$

However, by (19), Fatou's lemma gives

$$\int_{\Omega} R(x) dx \leq -pc < 0$$

which contradicts  $c_{3.4}$ ). Then the functional  $\Phi$  satisfies  $(c)$  for every  $c > 0$ .

**Proof of theorem 1.4.** Combining lemmas 2.6, 2.8 and taking  $e = t_0 \varphi_1$  ( $t_0 > \rho$ ) in theorem 2.1 to conclude the existence of a critical point  $u_0 \in W_0^{1,p}(\Omega)$  of  $\Phi$  with  $\Phi(u_0) \geq \alpha > 0$ .

### 3. SOME EXAMPLES

This final section treats the question of verifying some applications of the hypotheses that are required in the abstract theorems presented earlier.

#### Example 1

We consider the boundary value problem

$$(P_1) \begin{cases} -\Delta_p u = f(x, u) & \text{in } \Omega \\ u = 0 & \text{on } \partial\Omega \end{cases}$$

where :

$$f(x, s) = \begin{cases} \lambda_1 s^{p-1} + \frac{\lambda_1}{ps} & \text{if } s \geq 1 \\ \lambda_1 \left(\frac{p+1}{p}\right) s^p & \text{if } 1 \geq s \geq 0 \\ -f(x, -s) & \text{if } s \leq 0. \end{cases}$$

The primitive  $F(x, s) = \int_0^s f(x, t) dt$  is such that

$$F(x, s) = \begin{cases} \lambda_1 \frac{s^p}{p} + \lambda_1 \frac{\log(s)}{p} & \text{if } s \geq 1 \\ \lambda_1 \frac{s^{p+1}}{p} & \text{if } 1 \geq s \geq 0 \\ F(x, -s) & \text{if } 0 \geq s. \end{cases}$$

A simple computation shows that:

1.  $\lim_{|s| \rightarrow +\infty} \frac{pF(x, s)}{|s|^p} = \lambda_1$
2.  $\lim_{s \rightarrow 0} \frac{pF(x, s)}{|s|^p} = 0$
3.  $\lim_{|s| \rightarrow +\infty} [sf(x, s) - pF(x, s)] = -\infty$ .

Hence the hypotheses of the theorem 1.1 are satisfied, and  $(P_1)$  is a resonant problem.

#### Example 2

We shall now construct as above a Carathéodory function  $f$  satisfying

all conditions of theorem 1.3, and such that :

$$\liminf_{|s| \rightarrow +\infty} \frac{f(x, s)}{|s|^{p-2}s} = \lambda_1$$

and

$$\limsup_{|s| \rightarrow +\infty} \frac{f(x, s)}{|s|^{p-2}s} = \beta$$

where  $\lambda_1 < \beta < \lambda_2$ , so taking

$$f(x, s) = \begin{cases} \lambda_1 s^{p-1} + \frac{p\lambda_1}{s^2} & \text{if } s \geq 1 \\ (p+1)\lambda_1 s^p & \text{if } 1 \geq s \geq 0 \\ -2\beta |s|^p & \text{if } 0 \geq s \geq -1 \\ \beta |s|^{p-2}s - \frac{\beta}{s^2} & \text{if } -1 \geq s. \end{cases}$$

A simple calculation shows that the primitive  $F$  satisfies :

$$(16) \quad \liminf_{|s| \rightarrow +\infty} \frac{pF(x, s)}{|s|^p} = \lambda_1$$

$$(17) \quad \limsup_{|s| \rightarrow +\infty} \frac{pF(x, s)}{|s|^p} = \beta$$

and

$$(18) \quad \limsup_{s \rightarrow 0} \frac{pF(x, s)}{|s|^p} = 0.$$

$$\liminf_{|s| \rightarrow +\infty} (pF(x, s) - sf(x, s)) \geq \inf(\lambda_1(p^2 + p - 1), \beta(p - 1 + \frac{2p}{p+1})) > 0.$$

Hence by theorem 1.3 the problem  $(P_1)$  possesses a nonzero solution  $u \in W_0^{1,p}(\Omega)$ .

**Example 3**

In this example we consider the Dirichlet problem  $(P_1)$  where the

Carathéodory function  $f$  is as follows :

$$f(x, s) = \begin{cases} \lambda_1 s^{p-1} + \frac{\lambda_1}{ps^2} + p\frac{\lambda_1}{s^2} & \text{if } s \geq 1 \\ \frac{\lambda_1}{p}[p(p+1)+1]s^{p(p+1)} & \text{if } 1 \geq s \geq 0 \\ -f(x, -s) & \text{if } 0 \geq s. \end{cases}$$

The primitive  $F(x, s) = \int_0^s f(x, t) dt$  is such that

$$F(x, s) = \begin{cases} \frac{\lambda_1 s^p}{p} - \frac{\lambda_1}{ps} + \frac{\lambda_1}{p} - p\frac{\lambda_1}{s} + p\lambda_1 & \text{if } s \geq 1 \\ \frac{\lambda_1}{p}s^{p(p+1)+1} & \text{if } 1 \geq s \geq 0 \\ F(x, -s) & \text{if } 0 \geq s. \end{cases}$$

A simple computation shows that

1.  $\lim_{|s| \rightarrow +\infty} \frac{pF(x, s)}{|s|^p} = \lambda_1$
2.  $\lim_{s \rightarrow 0} \frac{pF(x, s)}{|s|^p} = 0$
3.  $\lim_{|s| \rightarrow +\infty} [pF(x, s) - \frac{\lambda_1 s^p}{p}] = \lambda_1(p + \frac{1}{p})$
4.  $\liminf_{|s| \rightarrow +\infty} [pF(x, s) - sf(x, s)] = \lambda_1 + p^2\lambda_1.$

Hence by theorem 1.4 the problem  $(P_1)$  possesses a nonzero solution in  $W_0^{1,p}(\Omega)$ .

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