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# NONRESONANCE BETWEEN TWO EIGENVALUES NOT NECESSARILY CONSECUTIVE 

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#### Abstract

In this paper we study the existence of solutions for a semilinear elliptic problem in case two eigenvalues are not necessarily consecutive.


Résumé : Dans cet article, nous étudions l'existence des solutions entre deux valeurs propres non nécessairement consecutives d'un problème semi-linéaire elliptique.

Key words : Variational elliptic problems - Resonance.

## 1. Introduction

Let $\Omega$ be a bounded domain in $\mathbf{R}^{n}$, and let $g: \Omega \times \mathbf{R} \rightarrow \mathbf{R}$ be a nonlinear function satisfying the Carathéodory conditions. We consider the Dirichlet problem

$$
\left\{\begin{align*}
-\Delta u & =g(x, u)+h(x) & & \text { in } \Omega  \tag{1.1}\\
u & =0 & & \text { on } \partial \Omega
\end{align*}\right.
$$

where $h \in L^{2}(\Omega)$. Given $0<\lambda_{1}<\lambda_{2} \leq \lambda_{3} \ldots \leq \lambda_{k} \leq \ldots$ the sequence of eigenvalues of the problem $-\Delta u=\lambda u$ in $\Omega, u=0$ on $\partial \Omega$.

Let us denote by $G(x, s)$, the primitive $\int_{0}^{s} g(x, t) d t$, and write

$$
\begin{aligned}
l_{ \pm}(x) & =\liminf _{s \rightarrow \pm \infty} \frac{g(x, s)}{s}, & k_{ \pm}(x) & =\limsup _{s \rightarrow \pm \infty} \frac{g(x, s)}{s} \\
L_{ \pm}(x) & =\liminf _{s \rightarrow \pm \infty} \frac{2 G(x, s)}{s^{2}}, & K_{ \pm}(x) & =\limsup _{s \rightarrow \pm \infty} \frac{2 G(x, s)}{s^{2}}
\end{aligned}
$$

with, for an autonomous nonlinearity $g(x, s)=g(s), l_{ \pm}$instead of $l_{ \pm}(x)$. Assume that

$$
\begin{equation*}
\lambda_{k} \leq l_{ \pm}(x) \leq k_{ \pm}(x) \leq \lambda_{k+1} \tag{1.2}
\end{equation*}
$$

uniformly for a.e. $x \in \Omega$.
As is well known, in the special case when $g$ is linear, i.e. $g(x, s)=\lambda s$, the problem (1.1) is completely solved by the Fredholm alternative, namely (1.1) has a solution for each $h$, if and only if $\lambda$ is not an eigenvalue of the linear operator $-\Delta$. For instance, we recall that, according to Dolph [8], the solvability of (1.1)), for any $h \in L^{2}(\Omega)$, is ensured when

$$
\begin{equation*}
\lambda_{k}<\nu_{k} \leq l_{ \pm}(x) \leq k_{ \pm}(x) \leq \nu_{k+1}<\lambda_{k+1} \tag{1.3}
\end{equation*}
$$

However, the situation where $l_{ \pm}(x) \equiv \lambda_{k}$ or $k_{ \pm}(x) \equiv \lambda_{k+1}$ was considered in several works, (see [12], [1] [4], [2], [14], [7], [9], [13]).

In [6], Costa and Oliviera extended the result of [8], allowing equality in both sides of (1.3) for every $x \in \Omega$, and assumed the following condition

$$
\begin{equation*}
\lambda_{k} \leq L_{ \pm}(x) \leq K_{ \pm}(x) \leq \lambda_{k+1} \tag{1.4}
\end{equation*}
$$

uniformly for a.e. $x \in \Omega$, with strict inequalities $\lambda_{k}<L_{ \pm}(x), K_{ \pm}(x)<\lambda_{k+1}$ holding on subset of positive measure.

More recently, the author and Moussaoui, in [10], proved an existence result in situation $L_{ \pm}(x) \equiv \lambda_{k}$ for a.e. $x \in \Omega$ and $K_{ \pm}(x) \equiv \lambda_{k+1}$ for a.e.
$x \in \Omega$. They showed that (1.1) is solvable when $\frac{g(x, s)}{s}$ stays "between" $\lambda_{k}$ and $\lambda_{k+1}$ for large values of $|s|$ and they replaced (1.4) by classical resonance conditions of Ahmad-Lazer-Paul on two sides of (1.4).

In this paper, our main objective is to study the solutions of problem (1.1) when the nonlinearity $g$ lies asymptotically between two eigenvalues not necessarily consecutive. It is clear that is such situations the solvability of (1.1) cannot be guaranteed without further assumption on the potential $G$.

To state our main result, let us denote by $E\left(\lambda_{j}\right)$ the $\lambda_{j}$-eigenspace. For every $u \in H_{0}^{1}(\Omega)$ write $u^{j}=P_{j} u$, where $P_{j}$ is orthogonal projection onto $E\left(\lambda_{j}\right)$.

Theorem 1.1. Let $k \geq 2$ and make the following assumptions:
$\left.G_{0}\right) \sup _{|s| \leq R}|g(x, s)| \in L^{2}(\Omega)$ for all $R>0$,
$\left.G_{1}\right) \quad \lambda_{k-1}<\nu_{k-1} \leq l_{ \pm}(x) \leq k_{ \pm}(x) \leq \lambda_{k+1}$ uniformly on $\Omega$
$G_{2}$ ) whenever $u_{n} \subset H_{0}^{1}(\Omega)$ is such that $\frac{u_{n}}{\left\|u_{n}\right\|} \rightharpoonup z \not \equiv 0 \frac{u_{n}^{k}}{\left\|u_{n}\right\|} \rightarrow z^{k} \not \equiv 0$ as $n \rightarrow \infty$, then

$$
0<\limsup _{n \rightarrow \infty} \int\left[g\left(x, u_{n}(x)\right)-\lambda_{k} u_{n}(x)\right] \frac{u_{n}^{k}(x)}{\left\|u_{n}\right\|^{2}} d x .
$$

$\left.G_{3}\right) \quad \int_{z>0}\left(\lambda_{k+1}-K_{+}(x)\right) z^{2} d x+\int_{z<0}\left(\lambda_{k+1}-K_{-}(x)\right) z^{2} d x>0$,
for every $z \in E\left(\lambda_{k+1}\right)$.
$\left.G_{4}\right) \lambda_{k} \leq L \pm(x)$ and $\int_{z>0}\left(L_{+}(x)-\lambda_{k}\right) z^{2} d x+\int_{z<0}\left(L_{-}(x)-\lambda_{k}\right) z^{2} d x>0$,
for every $z \in E\left(\lambda_{k}\right)$.
Then, for any $h \in L^{2}(\Omega)$, problem (1.1) has at least one solution.

Remark 1. Note that the assumptions $G_{3}$ ) and $G_{4}$ ) are weaker than condition on the potential $G$ assumed in [6]. Indeed,

1. $G_{3}$ ) occurs if $G$ verified $K_{ \pm}(x) \leq \lambda_{k+1}$ and the following condition:

$$
\left\{\begin{array}{l}
\text { there exists a subset } \Omega^{\prime} \text { of } \Omega \text { such that } \\
K_{+}(x)=\limsup _{\substack{s \rightarrow \infty \\
s^{2}}} \frac{2 G(x, s)}{s^{2}}\left(\text { resp. } K_{-}(x)\right. \\
\left.=\limsup _{s \rightarrow-\infty} \frac{2 G(x, s)}{s^{2}}\right)<\lambda_{k+1} \text { a.e. in } \Omega^{\prime} .
\end{array}\right.
$$

2. Furthermore $\left.G_{4}\right)$ is satisfied if $\lambda_{k}<L_{+}(x)$ or $\lambda_{k}<L_{-}(x)$ holds on the subset of positive measure.

Next, we are interested in situations where $\frac{g(x, s)}{s}$ is less than $\lambda_{2}$ and both $l_{ \pm}(x), L_{ \pm}(x)$ can be greater than $\lambda_{1}$.

Theorem 1.2. Assume that $\left.G_{2}\right), k=1$ and
$\left.G_{5}\right)|g(x, s)| \leq A|s|+b(x)$, for all $s \in \mathbf{R}$ and all every $x \in \Omega, A>0, b \in$ $L^{2}(\Omega)$.

$$
\begin{equation*}
k_{ \pm}(x) \leq \lambda_{2} \text { uniformly on } \Omega \tag{6}
\end{equation*}
$$

$$
\begin{equation*}
\int_{z>0}\left(\lambda_{2}-K_{+}(x)\right) z^{2} d x+\int_{z<0}\left(\lambda_{2}-K_{-}(x)\right) z^{2} d x>0 \tag{7}
\end{equation*}
$$

for every $z \in E_{\lambda_{2}}$.
Then, for any $h \in L^{2}(\Omega)$, problem (1.1) has at least one solution.
The proofs of theorem 1.1 and 1.2 use the general minimax theorem proved by Bartolo et al. in [3].

In section 4, we present several examples where our results apply and where, as far as we can see, previously known results do not hold.

## 2. Preliminaries. A compactness condition

By a solution of (1.1) we mean a function $u \in H_{0}^{1}(\Omega)$ satisfying

$$
\int_{\Omega} \nabla u \nabla v-\int_{\Omega} g(x, u) v-\int_{\Omega} h(x) v=0, \text { for all } v \in H_{0}^{1}(\Omega)
$$

where $H_{0}^{1}(\Omega)$ is the dual space obtained through completion of $C_{c}^{\infty}(\Omega)$ with respect to the norm induced by the inner product

$$
<u, v>=\int_{\Omega} \nabla u \nabla v, \quad u, v \in H_{0}^{1}(\Omega)
$$

If $g$ is Hölder continuous, then the regularity arguments imply that any solution of (1.2) is, in fact, in $C^{2}(\Omega) \cap C(\bar{\Omega})$, and satisfies the equation (1.1) for every $x \in \Omega$.

Define, for all $u \in H_{0}^{1}(\Omega)$, the functional

$$
\Phi(u)=\int_{\Omega}|\nabla u|^{2}-\int G(x, u)-\int h(x) u .
$$

Under the growth condition on $g$, it is well know that $\Phi$ is well defined on $H_{0}^{1}(\Omega)$, weakly lower semicontinuous and continuously Fréchet differentiable, with derivative given by

$$
\Phi^{\prime}(u) v=\int_{\Omega} \nabla u \nabla v-\int g(x, u) v-\int h(x) v, \text { for all } u, v \in H_{0}^{1}(\Omega)
$$

Thus, finding solutions of (1.1) is equivalent to finding critical points of the functional $\Phi$.

In order to apply minimax methods for finding critical points of $\Phi$, we need to verify that $\Phi$ satisfies a compactness condition of the Palais-Smale type which was introduced by Cerami.

A functional $\Phi \in C^{1}(E, \mathbf{R})$, where $E$ is a real Banach space, is said to satisfy condition $(C)_{c}$ at the level $c \in \mathbf{R}$ if the following holds:
$\left.(C)_{c} \mathbf{i}\right)$ any bounded sequence $\left(u_{n}\right) \subset E$ such that $\Phi\left(u_{n}\right) \rightarrow c$ and $\Phi^{\prime}\left(u_{n}\right) \rightarrow$ 0 possesses a convergent subsequence;
ii) there exist constants $\delta, \mathrm{R}, \alpha>0$ such that

$$
\left\|\Phi^{\prime}(u)\right\|\|u\| \geq \alpha \text { for any } u \in \Phi^{-1}([c-\delta, c+\delta]) \text { with }\|u\| \geq R .
$$

It was shown in [3] that condition (C) actually is sufficient to get a deformation theorem and then, by standard minimax arguments (see [3]), the following result was proved.

Theorem 2.1. : Suppose that $\Phi \in C^{1}(E, \mathbf{R}), E$ is a real Banach space and satisfies condition $(C)_{c} \forall c \in \mathbf{R}$ and that there exists a closed subset $S \subset E$ and $Q \subset E$ with boundary $\partial Q$ satisfying the following conditions:
i) $\sup _{u \in \partial Q} \Phi(u) \leq \alpha<\beta \leq \inf _{u \in S} \Phi(u)$ for some $0 \leq \alpha<\beta$;
ii) $S$ and $\partial Q$ link;
iii) $\sup _{u \in Q} \Phi(u)<\infty$.

Then $\Phi$ possesses a critical value $c \geq \beta$.

Since we are going to apply the variational characterization of the eigenvalues, we will decompose the space $H_{0}^{1}(\Omega)$ as $E=E_{-} \oplus E_{k} \oplus E_{+}$, where $E_{-}$is the subspace spanned by the $\lambda_{j}$ - eigenfunctions with $j<k$ and $E_{j}$ is the eigenspace generated by the $\lambda_{j}$-eigenfunctions and $E_{+}$is the orthogonal complement of $E_{-} \oplus E_{k}$ in $H_{0}^{1}(\Omega)$. We will also decompose for any $u \in H_{0}^{1}(\Omega)$, as $u=u^{-}+u^{k}+u^{+}$where $u^{-} \in E_{-}, u^{k} \in E_{k}$, and $u^{+} \in E_{+}$.

## 3. Proofs of theorems

To apply theorem 2.1, we shall do separate studies of the "compactness" of $\Phi$ and its "geometry". First, we prove that $\Phi$ satisfies the Cerami condition.

Lemma 3.1. $\Phi$ satisfies the $(C)_{c}$ condition on $H_{0}^{1}(\Omega)$, for all $c \in \mathbf{R}$.

Proof: Let us initially verify that the Palais-Smale condition is satisfied on the bounded subset of $H_{0}^{1}(\Omega)$. Let $\left(u_{n}\right)_{n} \subset H_{0}^{1}(\Omega)$, be bounded and such that $\Phi^{\prime}\left(u_{n}\right) \rightarrow 0$ in $H^{-1}(\Omega)$. If we identify $L^{2}(\Omega)$ with its dual, one has that

$$
-\Delta u_{n}-g\left(x, u_{n}\right)-h(x) \rightarrow 0 \quad \text { in } H^{-1}(\Omega) .
$$

This implies that

$$
u_{n}-(-\Delta)^{-1}\left[g\left(x, u_{n}\right)+h\right] \rightarrow 0 \quad \text { in } H_{0}^{1}(\Omega) .
$$

Since $\left(u_{n}\right)$ is bounded we can select a subsequence noted also $\left(u_{n}\right)$ weakly converging to $u_{0} \in H_{0}^{1}(\Omega)$ and on the other hand, we have $u \mapsto g(x, u)+h$ is completely continuous from $H_{0}^{1}(\Omega) \rightarrow H^{-1}(\Omega)$ then,

$$
(-\Delta)^{-1}\left[g\left(x, u_{n}\right)+h\right] \rightarrow(-\Delta)^{-1}\left[g\left(x, u_{0}\right)+h\right] .
$$

It obvious that the subsequence $\left(u_{n}\right)$ converges in $H_{0}^{1}(\Omega)$.
Let us now prove that $\left.(C)_{c} i i\right)$ is satisfied for every $c \in \mathbf{R}$. Assume by contradiction, Let $c \in \mathbf{R}$ and $\left(u_{n}\right)_{n} \subset H_{0}^{1}(\Omega)$ such that:

$$
\begin{gather*}
\Phi\left(u_{n}\right) \rightarrow c  \tag{3.1}\\
\left\|u_{n}\right\|\left\|<\Phi^{\prime}\left(u_{n}\right), v>\mid \leq \epsilon_{n}\right\| v \| \quad \forall v \in H_{0}^{1}(\Omega)  \tag{3.2}\\
\left\|u_{n}\right\| \rightarrow \infty, \epsilon_{n} \rightarrow 0, \text { as } n \rightarrow \infty .
\end{gather*}
$$

Set $z_{n}=\frac{u_{n}}{\left\|u_{n}\right\|}$, we have $\left\|z_{n}\right\|=1$ and, passing if necessary to a subsequence, we may assume that: $z_{n} \rightharpoonup z$ weakly in $H_{0}^{1}(\Omega), z_{n} \rightarrow z$ strongly in $L^{2}(\Omega)$ and $z_{n}(x) \rightarrow z(x)$ a.e. in $\Omega$.

We consider $\left(\frac{g\left(., u_{n}(.)\right)}{\left\|u_{n}\right\|}\right)$ which, by the linear growth of $g$, remains bounded in $L^{2}$. Thus, for a subsequence $\left(\frac{g\left(., u_{n}(.)\right)}{\left\|u_{n}\right\|}\right)$ converges weakly in $L^{2}$ to some $\tilde{g} \in L^{2}$ and by standard arguments based on $\left.\left.G_{0}\right)-G_{1}\right), \tilde{g}$ can be written as

$$
\tilde{g}(x)=m(x) z(x)
$$

where the $L^{\infty}$-function $m$ satisfy

$$
\begin{equation*}
\lambda_{k-1}<\nu_{k-1} \leq m(x) \leq \lambda_{k+1} . \tag{3.3}
\end{equation*}
$$

Now, by (3.2), we have

$$
\frac{\leq \Phi^{\prime}\left(u_{n}\right), u_{n}>}{\left\|u_{n}\right\|^{2}} \rightarrow 1-\int \tilde{g}(x) z(x) d x=0
$$

So that, $z \not \equiv 0$. In other words, we verify easily that $z$ satisfied

$$
\text { (I) }\left\{\begin{aligned}
-\Delta z & =m(x) z & & \text { in } \Omega \\
z & =0 & & \text { on } \partial \Omega
\end{aligned}\right.
$$

We now distinguish two cases : i) $m(x)<\lambda_{k+1}$ on subset of positive measure; ii) $m(x) \equiv \lambda_{k+1}$.

Case i). First, we claim that $z^{k} \not \equiv 0$. Assume by contradiction that $z^{k} \equiv 0$. Multiplying the first equation of (I) by $z^{-}-z^{+}$and integrating over $\Omega$, we obtain

$$
\begin{equation*}
\int\left|\nabla z^{+}\right|^{2}-m(x) z^{+^{2}} d x=\int\left|\nabla z^{-}\right|^{2}-m(x) z^{-2} d x \tag{3.4}
\end{equation*}
$$

From (3.3) and (3.4), it is obvious that

$$
0 \leq \int\left|\nabla z^{+}\right|^{2}-m(x) z^{+^{2}} d x \leq\left(\lambda_{k-1}-\nu_{k-1}\right) \int z^{-2} d x \leq 0
$$

This leads to

$$
z^{-} \equiv 0 \text { and } \int\left|\nabla z^{+}\right|^{2}-m(x) z^{+^{2}} d x=0
$$

Define the functional $\mu: E^{+} \rightarrow \mathbf{R}$ by

$$
\mu(v)=\int|\nabla v|^{2}-m(x) v^{2} d x=0, \text { for all } v \in E^{+}
$$

We first show that $\mu(v)=0$ implies that $v \equiv 0$. Indeed, since $\int|\nabla v|^{2} \geq$ $\lambda_{k+1} \int|v|^{2}$ for $v \in E^{+}$, we have

$$
\mu(v) \geq \int\left[\lambda_{k+1}-m(x)\right] v^{2} d x \geq 0, \text { for all } v \in E^{+}
$$

Thus, if $\mu(v)=0$ then $v=0$ on the set $\Omega_{0}=\left\{x \in \Omega: m(x)<\lambda_{k+1}\right\}$
We also get

$$
0=\mu(v) \geq \int|\nabla v|^{2}-\lambda_{k+1} \int|v|^{2} \geq 0
$$

Thus $v$ is an eigenfunction for $\lambda_{k+1}$. Therefore, since $v=0$ on a set of positive measure, the unique continuation implies that $v \equiv 0$. Therefore, we conclude that $z^{+} \equiv 0$. This contradicts $z \not \equiv 0$. So that, $z^{k} \not \equiv 0$.

Therefore, from $G_{2}$ ) we obtain

$$
\limsup _{n \rightarrow \infty} \int\left[g\left(x, u_{n}(x)\right)-\lambda_{k} u_{n}(x)+h(x)\right] u_{n}^{k}(x) d x=\infty
$$

On the other hand, we have
$\limsup _{n \rightarrow \infty}\left\|\Phi^{\prime}\left(u_{n}\right)\right\|\left\|u_{n}\right\| \geq \limsup _{n \rightarrow \infty} \int\left[g\left(x, u_{n}(x)\right)-\lambda_{k} u_{n}(x)+h(x)\right] u_{n}^{k}(x) d x \mid>0$.
this contradicts (3.2).

Case ii). If $m(x) \equiv \lambda_{k+1}$
Dividing (3.1) by $\left\|u_{n}\right\|^{2}$, then we have

$$
\frac{\Phi\left(u_{n}\right)}{\left\|u_{n}\right\|^{2}} \rightarrow 0, \text { as } n \rightarrow \infty .
$$

Since $z_{n} \rightarrow z$ strongly in $H_{0}^{1}(\Omega)$, we get

$$
\int \frac{G\left(x, u_{n}(x)\right)}{\left\|u_{n}\right\|^{2}} d x \rightarrow \frac{1}{2} \int|\nabla z|^{2} d x
$$

and using the Fatou's lemma, we also have

$$
\begin{gathered}
\lambda_{k+1} \int z^{2} \leq \int \lim \sup \frac{2 G\left(x, u_{n}(x)\right)}{\left|u_{n}\right|^{2}} \frac{u_{n}^{2}}{\left\|u_{n}\right\|^{2}} d x \\
\leq \int_{z>0} \lim \sup \frac{2 G\left(x, u_{n}(x)\right)}{\left|u_{n}\right|^{2}} z^{2} d x+\int_{z<0} \lim \sup \frac{2 G\left(x, u_{n}(x)\right)}{\left|u_{n}\right|^{2}} z^{2} d x .
\end{gathered}
$$

Therefore, we obtain

$$
\int_{z>0}\left(\lambda_{k+1}-K_{+}(x)\right) z^{2} d x+\int_{z<0}\left(\lambda_{k+1}-K_{-}(x)\right) z^{2} d x \leq 0 .
$$

But this gives us once more a contradiction from $G_{3}$ ). The proof is complete.

Lemma 3.2. : Under hypothesis of Theorem 1.1, the functional $\Phi$ has the following properties:
i) $\Phi(w) \rightarrow \infty, \quad$ as $\|w\| \rightarrow \infty, \quad w \in E_{+}$.
ii) $\Phi(v) \rightarrow-\infty, \quad$ as $\|v\| \rightarrow \infty, \quad v \in E_{k} \oplus E_{-}$

Proof i) The proof is by contradiction. Suppose that

$$
\begin{equation*}
\Phi\left(w_{n}\right)=\frac{1}{2} \int\left|\nabla w_{n}\right|^{2} d x-\int G\left(x, w_{n}\right)-\int h w_{n} d x \leq B \tag{3.5}
\end{equation*}
$$

for some constant B and some sequence $\left(w_{n}\right) \subset E_{+}$with $\left\|w_{n}\right\| \rightarrow \infty$.
Let $\varepsilon>0$, from $\left.G_{0}\right)-G_{1}$ ) there exists $B_{\varepsilon}(x) \in L^{1}(\Omega)$ such that

$$
\begin{equation*}
G(x, s) \leq \lambda_{k+1} \frac{s^{2}}{2}+\varepsilon s^{2}+B_{\varepsilon}(x) \text { a.e. in } \Omega, \forall s \in \mathbf{R} \text {. } \tag{3.6}
\end{equation*}
$$

However, by (3.5) and (3.6) we get that $\left\|w_{n}\right\|_{2} \rightarrow \infty$, as $n \rightarrow \infty$, otherwise, we would obtain
$(3.7)\left|w_{n}\left\|^{2} \leq \lambda_{k+1}\right\| w_{n}\left\|_{2}^{2}+2 \epsilon\right\| w_{n} \|_{2}^{2}+2 \int B_{\epsilon}(x) d x+\int\right| h w_{n} \mid d x+2 B$.
If we take $0<\varepsilon<\frac{1}{2}$, we obtain

$$
\left\|w_{n}\right\| \leq \text { constant }
$$

Letting $z_{n}=\frac{w_{n}}{\left\|w_{n}\right\|_{2}}$ and dividing (3.7) by $\left\|w_{n}\right\|_{2}^{2}$, we obtain in view of Poincaré inequality that

$$
\left\|z_{n}\right\|^{2}-\lambda_{k+1} \leq 2 \frac{\varepsilon}{\lambda_{1}}\left\|z_{n}\right\|^{2}+\frac{2 \int B_{\epsilon}(x) d x+2 B}{\left\|w_{n}\right\|_{2}}+\frac{\int\left|h z_{n}\right| d x}{\left\|w_{n}\right\|_{2}} .
$$

As $\left\|w_{n}\right\|_{2} \rightarrow \infty$, there exist constants $M, N>0$ such that

$$
\begin{equation*}
\left\|z_{n}\right\|^{2}-\lambda_{k+1} \leq \epsilon M\left\|z_{n}\right\|^{2}+N \tag{3.8}
\end{equation*}
$$

If we take $0<\varepsilon<\min \left(\frac{1}{2}, \frac{1}{M}\right)$, we get

$$
\begin{equation*}
\left\|z_{n}\right\| \leq c t e \tag{3.9}
\end{equation*}
$$

Passing to a subsequence if necessary, we obtain

$$
z_{n} \rightarrow z \text { weakly in } H_{0}^{1}(\Omega), z_{n} \rightarrow z \quad \text { a.e. on } \Omega \text { and in } L^{2}
$$

for some $z \in H_{0}^{1}(\Omega)$ with $\|z\|_{2}=1$ (since $\left\|z_{n}\right\|_{2}=1$ ).
As $z \in E_{k+1} \oplus E_{+}$we have necessarily, from (3.8) and (3.9), that $z$ is $\lambda_{k+1}$-eigenfunction. since $w_{n} \in E^{+}$, inequality (3.5) becomes

$$
\lambda_{k+1} \int w_{n}^{2} d x \leq \int 2 G\left(x, w_{n}\right)-2 \int h w_{n} d x+2 B
$$

Dividing the above estimate by $\left\|w_{n}\right\|_{2}^{2}$ and using Fatou's lemma, we get

$$
\lambda_{k+1} \int z^{2} d x \leq \int_{z>0} K_{+}(x) z^{2} d x+\int_{z<0} K_{-}(x) z^{2} d x
$$

Hence

$$
\int_{z>0}\left(\lambda_{k+1}-K_{+}\right) z^{2} d x+\int_{z<0}\left(\lambda_{k+1}-K_{-}(x)\right) z^{2} d x \leq 0 .
$$

But this yields us a contradiction.

Proof of (ii). This part of the proof is also by contradiction. Assume that there exist a constant B and a sequence $\left(v_{n}\right) \subset V$ with $\left\|v_{n}\right\| \rightarrow \infty$ such that

$$
B \leq \Phi\left(v_{n}\right)=\frac{1}{2} \int\left|\nabla v_{n}\right|^{2} d x-\int G\left(x, v_{n}\right)-\int h v_{n} d x
$$

and so

$$
\begin{equation*}
2 B+\int 2 G\left(x, v_{n}\right)+2 \int h v_{n} d x \leq \lambda_{k} \int v_{n}^{2} d x \tag{3.10}
\end{equation*}
$$

Set $z_{n}=\frac{v_{n}}{\left\|v_{n}\right\|}$, and passing to a subsequence if necessary, we obtain $z_{n} \rightharpoonup z$ weakly in $H_{0}^{1}(\Omega), z_{n} \rightarrow z$ strongly in $L^{2}(\Omega)$ and $z_{n}(x) \rightarrow z(x)$ a.e. in $\Omega$.

Proceeding as in $i i)$ and using $\lambda_{k} \leq L_{ \pm}(x)$, we obtain $z$ is a $\lambda_{k^{-}}$ eigenfunction. Dividing (4.0) by $\left\|v_{n}\right\|_{2}^{2}$ and using Fatou's lemma, one has that

$$
\int_{z>0}\left(L_{+}(x)-\lambda_{k}\right) z^{2} d x+\int_{z<0}\left(L_{-}(x)-\lambda_{k}\right) z^{2} d x \leq 0
$$

This is a contradiction with assumption $G_{4}$ ).
Proof of theorem 1.1. In view of lemmas 3.1 and 3.2 , we may apply theorem 2.1 letting $S=E_{+}$and $Q=\left\{v \in E_{-} \oplus E_{k}:\|v\| \leq R\right\}$, with $R>0$ being such that

$$
\alpha=\max _{\partial Q} \Phi<\inf _{E_{+}} \Phi=\beta
$$

It follows that the functional $\Phi$ has a critical value $c \geq \beta$ and, hence, problem (1) has a solution $u \in H_{0}^{1}$.

Proof of theorem 1.2. In the similar way of lemma 3.1 we prove that $\Phi$ satisfies the $(C)_{c}$ condition, for every $c \in \mathbf{R}$. In the second step, we establish that $\Phi$ has the following properties :
i) $\Phi(w) \rightarrow \infty$, as $\|w\| \rightarrow \infty, w \in E_{+}$,
ii) $\Phi(v) \rightarrow-\infty$, as $\|v\| \rightarrow \infty, v \in E_{1}$.

Let us prove the anticoercivness on $\Phi$ on $E_{1}$. Since $E_{1}$ is one-dimensional, we set $E_{1}=\left\{t \varphi_{1} \mid t \in \mathbf{R}\right\}$, where $\varphi_{1}$ is the normalized $\lambda_{1}$-eigenfunction (i.e. $\left\|\varphi_{1}\right\|=1$ ). We note that $\varphi_{1}$ does not change sign in $\Omega$. Letting $h(x, s)=g(x, s)+h(x)$ and $H(x, s)=\int_{0}^{s} h(x, t) d t$, we have for all $R>0$,

$$
\begin{aligned}
\int H\left(x, t \varphi_{1}\right) d x & =\int H\left(x, R \varphi_{1}\right) d x+\int\left(\int_{R}^{t} h\left(x, s \varphi_{1}\right) \varphi_{1} d s\right) d x \\
& =\int H\left(x, R \varphi_{1}\right) d x+\int_{R}^{t} \frac{1}{s}\left(\int h\left(x, s \varphi_{1}\right) s \varphi_{1} d x\right) d s
\end{aligned}
$$

On the other hand, there exist $\gamma, R>0$ such that
$\int\left[h\left(x, s \varphi_{1}\right)-\lambda_{1} s\right] s \varphi_{1} d x \geq \gamma s^{2}$ for all $|s| \geq R$. If not, there is a sequence $s_{n} \in \mathbf{R}$ such that

$$
\limsup _{n \rightarrow \infty} \int \frac{h\left(x, s_{n} \varphi_{1}\right)-\lambda_{1} s_{n} \varphi_{1}}{s_{n}^{2}} s_{n} \varphi_{1} d x \leq 0 .
$$

This contradicts $G_{2}$ ). We conclude that from (15),

$$
\begin{aligned}
\int H\left(x, t \varphi_{1}\right) d x & \geq \int_{R}^{t} \frac{1}{s}\left(\gamma s^{2}\right) d s+\int H\left(x, R \varphi_{1}\right) d x \\
& =\frac{t^{2}}{2} \gamma-\frac{R^{2}}{2}+\int H\left(x, R \varphi_{1}\right) d x
\end{aligned}
$$

Hence, $\Phi\left(t \varphi_{1}\right)=\frac{t^{2}}{2}-\int G\left(x, t \varphi_{1}\right) d x-\int h(x) t \varphi_{1} d x \rightarrow-\infty$, as $|t| \rightarrow \infty$. Since $E_{1}=\left\{t \varphi_{1} \mid t \in \mathbf{R}\right\}, \Phi$ is anticoercive in $E_{1}$.

We verify easily as in i) of lemma 3.2 that $\Phi$ is coercive on $E^{+}$. Then theorem 1.2 follows from theorem 2.1. The proof is complete.

## 4. EXAMPLES

First, we establish the following result

Claim There exist $\Omega_{1} \subset \Omega$ such that meas $\left(\Omega_{1}\right)>0$ and

$$
\int_{\Omega_{1}} z z^{k} d x<\int_{\Omega \backslash \Omega_{1}} z z^{k} d x, \quad \forall z \in H_{0}^{1}(\Omega),\left\|z^{k}\right\|=1 .
$$

If not, for every sequence $\left(\Omega_{n}\right)$ such that $\operatorname{meas}\left(\Omega_{n}\right)>0$ there exist $\left(z_{n}\right) \subset$ $H_{0}^{1}(\Omega)$, with $\left\|z_{n}^{k}\right\|=1$ and

$$
\begin{equation*}
\int_{\Omega_{n}} z_{n} z_{n}^{k} d x \geq \int_{\Omega \backslash \Omega_{n}} z_{n} z_{n}^{k} d x=\int_{\Omega}\left(z_{n}^{k}\right)^{2} d x-\int_{\Omega_{n}} z_{n} z_{n}^{k} d x \tag{4.1}
\end{equation*}
$$

From a sequence $\left(\Omega_{n}\right)$ satisfying

$$
\Omega_{n+1} \subset \Omega_{n}, \quad \operatorname{meas}\left(\Omega_{n}\right)=\frac{1}{n}, \forall n \geq 1
$$

Thus, we have

$$
\chi_{\Omega_{n}} \rightarrow 0 \text { in } L^{\infty} .
$$

On the other hand, there exists $z \in H_{0}^{1}(\Omega)$ such that $z_{n} \rightharpoonup z$ weakly in $H_{0}^{1}(\Omega), z_{n} \rightarrow z$ strongly in $L^{2}(\Omega)$ and $z_{n}^{k} \rightarrow z^{k}$ strongly in $E_{k}$.

From (4.2), we obtain

$$
\int_{\Omega} z^{k^{2}} d x \leq 0
$$

and hence $z^{k} \equiv 0$.This a contradiction, since $\left\|z^{k}\right\|=1$.

Example 1: Consider two-point boundary value problem

$$
\left\{\begin{aligned}
-u " & =g(x, u)+h(x) \quad 0<x<\pi \\
u(0) & =u(\pi)=0
\end{aligned}\right.
$$

where $h \in L^{2}(0, \pi)$. Let $g$ the continuous function defined by

$$
g(s)= \begin{cases}s\left(k^{2}+2(k-1) \sin (s)\right)+\frac{3}{2} s(1+\sin (s)) & \text { if } \\ s \geq 1 & \text { if } \\ a s+b & \\ -1 \leq s \leq 1 & \text { if } \\ s \sin (\ln (1-k s))-\frac{s^{2}}{2} \cos (\ln (1-k s)) \frac{1}{1-k s}+\left(k^{2}+k\right) s & \\ s \leq-1 & \end{cases}
$$

A simple computation of the primitive $G(s)=\int_{0}^{s} g(t) d t$ gives

$$
G(s)= \begin{cases}\left(k^{2}+\frac{3}{2}\right) \frac{s^{2}}{2}-\left(k-\frac{1}{2}\right)[s \cos s-\sin s] & \text { if } s \geq 1 \\ a \frac{s^{2}}{2}+b s & \text { if }-1 \leq s \leq 1 \\ k \frac{s^{2}}{2} \sin (\ln (1-k s))+\frac{k^{2}+k}{2} s^{2} & \text { if } s \leq-1\end{cases}
$$

Let, $k \geq 2$ and

$$
g(x, s)=\left\{\begin{array}{lll}
g(s) & \text { a.e. } & x \in \Omega_{1}, \forall s \in \mathbf{R} \\
\left(k^{2}+2 k-2\right) s & \text { a.e. } & x \in \Omega \backslash \Omega_{1}, \forall s \in \mathbf{R}
\end{array}\right.
$$

Set $h(x, s)=g(x, s)-k^{2} s$. For every $\left(u_{n}\right) \subset H_{0}^{1}(0, \pi)$ is such that $\frac{u_{n}}{\left\|u_{n}\right\|} \rightharpoonup$ $z \not \equiv 0 \frac{u_{n}^{k}}{\left\|u_{n}\right\|} \rightarrow z^{k} \not \equiv 0$ as $n \rightarrow \infty$, and since $\liminf _{|s| \rightarrow \infty} \frac{g(x, s)}{s} \geq(k-1)^{2}+1$ the dominated convergence theorem can be used to show that

$$
\begin{aligned}
& \limsup _{n \rightarrow \pm \infty} \int h\left(x, u_{n}(x)\right) \frac{u_{n}^{k}(x)}{\left\|u_{n}\right\|^{2}} d x \\
& \geq \limsup _{n \rightarrow \pm \infty} \int_{\Omega_{1}}(2-2 k) \frac{u_{n} u_{n}^{1}}{\left\|u_{n}\right\|^{2}}+\int_{\Omega \backslash \Omega_{1}}(2 k-2) \frac{u_{n} u_{n}^{1}}{\left\|u_{n}\right\|^{2}} \\
& =\left\|z^{k}\right\|^{2}(2 k-2)\left[\int_{\Omega \backslash \Omega_{1}} \frac{z}{\left\|z^{k}\right\|} \| z^{k}\right. \\
& >z^{k} \| \\
& >0
\end{aligned}
$$

and thus condition $G_{2}$ ) is satisfied. It is clear that $g(x,$.$) and G(x,$.$) satisfies$

$$
\begin{aligned}
& \liminf _{s \rightarrow \pm \infty} \frac{g(x, s)}{s}=(k-1)^{2}+1, \liminf _{s \rightarrow \pm \infty} \frac{2 G(x, s)}{s^{2}} \\
& =k^{2}+\frac{3}{2}, \limsup _{s \rightarrow \pm \infty} \frac{g(x, s)}{s}=(k+1)^{2} \\
& \liminf _{s \rightarrow \pm \infty} \frac{g(x, s)}{s}=k^{2}-1, \liminf _{s \rightarrow \pm \infty} \frac{2 G(x, s)}{s^{2}}=k^{2}, \limsup _{s \rightarrow \pm \infty} \frac{2 G(x, s)}{s^{2}} \\
& =k^{2}+2 k-2, \limsup _{s \rightarrow \pm \infty} \frac{g(x, s)}{s} \\
& =(k+1)^{2} .
\end{aligned}
$$

Theorem 1.1 thus implies that problem (1) has at least one solution for any $h \in L^{2}(0, \pi)$.

Example 2: Consider

$$
g(x, s)=\left\{\begin{array}{lll}
a s & \text { a.e. } & x \in \Omega_{1}, \forall s \in \mathbf{R} \\
\left(2 \lambda_{1}-a\right) s & \text { a.e. } & x \in \Omega \backslash \Omega_{1}, \forall s \in \mathbf{R}
\end{array}\right.
$$

with $2 \lambda_{1}-\lambda_{2}<a<\lambda_{1}$, and put $h(x, s)=g(x, s)-\lambda_{1} s$.
For every $\left(u_{n}\right) \subset H_{0}^{1}(\Omega)$ is such that $\frac{u_{n}}{\left\|u_{n}\right\|} \rightharpoonup z \not \equiv 0 \frac{u_{n}^{1}}{\left\|u_{n}\right\|} \rightarrow z^{1} \not \equiv 0$ as $n \rightarrow \infty$, then

$$
\begin{aligned}
& \limsup _{n \rightarrow \pm \infty} \int h\left(x, u_{n}(x)\right) \frac{u_{n}^{1}(x)}{\left\|u_{n}\right\|^{2}} d x \\
& =\limsup _{n \rightarrow \infty} \int_{\Omega_{1}}\left(a-\lambda_{1}\right) \frac{u_{n} u_{n}^{1}}{\left\|u_{n}\right\|^{2}}+\int_{\Omega \backslash \Omega_{1}}\left(\lambda_{1}-a\right) \frac{u_{n} u_{n}^{1}}{\left\|u_{n}\right\|^{2}} \\
& =\left(\lambda_{1}-a\right)\left[\int_{\Omega \backslash \Omega_{1}} z z^{1} d x-\int_{\Omega_{1}} z z^{1} d x\right] \\
& >0
\end{aligned}
$$

and thus condition $G_{2}$ ) is satisfied. It is clear that $g$ and $G$ satisfies

$$
\liminf _{s \rightarrow \pm \infty} \frac{g(x, s)}{s}=a, \liminf _{s \rightarrow \pm \infty} \frac{2 G(x, s)}{s^{2}}=a, \limsup _{s \rightarrow \pm \infty} \frac{g(x, s)}{s}=2 \lambda_{1}-a
$$

Theorem 1.2 thus implies that problem (1) has at least one solution for any $h \in L^{2}$.

Note that these examples is not covered by the results in (3.2) and (3.5).

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