Proyecciones Journal of Mathematics Vol. 30, N^o 1, pp. 123-136, May 2011. Universidad Católica del Norte Antofagasta - Chile DOI: 10.4067/S0716-09172011000100011

A note on the Jordan decomposition

Mauro Patrão * Universidade de Brasília-DF, Brazil

Laércio Santos Universidade Federal de São Carlos, Brazil

and

Lucas Seco Universidade de Brasília-DF, Brazil Received : November 2010. Accepted : December 2010

Abstract

The multiplicative Jordan decomposition of a linear isomorphism of \mathbf{R}^n into its elliptic, hyperbolic and unipotent components is well know. One can define an abstract Jordan decomposition of an element of a Lie group by taking the Jordan decomposition of its adjoint map. For real algebraic Lie groups, some results of Mostow implies that the usual multiplicative Jordan decomposition coincides with the abstract Jordan decomposition. Here, for a semisimple linear Lie group, we obtain this fact by elementary methods. We also obtain the corresponding results for semisimple linear Lie algebras. Complete and simple proofs of these facts are lacking in the literature, so that the main purpose of this article is to fill this gap.

^{*}Supported by CNPq grant 310790/09-3

1. Introduction

The multiplicative Jordan decomposition of a linear isomorphism of \mathbb{R}^n into its elliptic, hyperbolic and unipotent components is well know (see Section IX.7, p.430 of [2]). For a Lie group G, one can define an abstract Jordan decomposition of $g \in G$ by taking the Jordan decomposition of the adjoint map Ad(g). For real algebraic Lie groups, some results of Mostow (see Section 3 of [6]) implies that the usual multiplicative Jordan decomposition coincides with the abstract Jordan decomposition. Here, for a semisimple linear Lie group, we obtain this fact by elementary methods. We also obtain the corresponding results for semisimple linear Lie algebras. Complete and simple proofs of these facts are lacking in the literature, so that the main purpose of this article is to fill this gap. As a byproduct we obtain that a semisimple linear Lie group and hence closed. Our interest in this subject arose in the article [1], where we related the Jordan decomposition with the dynamics on the flag manifold.

We now describe the structure of the present article. Let V be a finite dimensional real vector space and T a linear map of V. The most usual Jordan decomposition writes T as a commuting sum of a semisimple and a nilpotent maps. They are called the semisimple and nilpotent additive Jordan components of T and are given as polynomials in T (see Theorem 13, p.267 of [3] or Proposition 4.2, p.17 of [4]). One can go further and write the semisimple component as a commuting sum of an elliptic and a hyperbolic components which also commutes with the nilpotent component. When T is invertible, there is an analogous multiplicative Jordan decomposition which writes T as a commuting product of an elliptic, a hyperbolic and a unipotent components (see Section IX.7, p.430 of [2]). In Section 2, our main results show that the elliptic and hyperbolic components of both additive and multiplicative Jordan decomposition of T are given as polynomials in T and the same happens for the unipotent component.

One can extend these decompositions to the context of semisimple Lie algebras and groups in the following manner (see [2, 7, 8, 9]). Let \mathbf{g} be a semisimple Lie algebra and G be a Lie group with Lie algebra \mathbf{g} . Let ad : $\mathbf{g} \to \mathbf{gl}(\mathbf{g})$ be the adjoint representation of \mathbf{g} and let Ad : $G \to \mathrm{Gl}(\mathbf{g})$ be the adjoint representation of G. For $X \in \mathbf{g}$ we say that X = E + H + Nis an abstract Jordan decomposition of X if $E, H, N \in \mathbf{g}$ commute, $\mathrm{ad}(E)$ is additively elliptic, $\mathrm{ad}(H)$ is additively hyperbolic and $\mathrm{ad}(N)$ is nilpotent. For $g \in G$ we say that g = ehu is an abstract Jordan decomposition of g if $e, h, u \in G$ commute, $\operatorname{Ad}(e)$ is elliptic, $\operatorname{Ad}(h)$ is hyperbolic and $\operatorname{Ad}(u)$ is unipotent. In Section 3, by using the results of Section 2, we provide a simple proof that, for an element X of a linear semisimple Lie algebra **g** (or g of a linear semisimple connected Lie group G), its three Jordan components lie again in the algebra (in the group). This fact implies that, for this class of algebras and groups, the usual linear Jordan decomposition coincides with the abstract Jordan decomposition. For real algebraic Lie groups, this fact was previously obtained by Mostow (see Section 3 of [6]). Here we obtain this fact by elementary methods and, in particular, we obtain that a linear semisimple connected Lie group G is the connected component of the identity of an algebraic group (see Proposition 3.5).

2. General linear algebra and group

We first introduce some preliminary definitions and notations. Defining the complex vector space $V_{\mathbf{C}} = \{u + iv : u, v \in V\}$ we have that $V \subset V_{\mathbf{C}}$. For $X \in \mathbf{gl}(V)$ we put X(u + iv) = Xu + iXv so that $\mathbf{gl}(V) \subset \mathbf{gl}(V_{\mathbf{C}})$. Since the determinant of $g \in \mathrm{Gl}(V)$ seen as an operator of V or $V_{\mathbf{C}}$ coincide we also have that $\mathrm{Gl}(V) \subset \mathrm{Gl}(V_{\mathbf{C}})$. Let $X \in \mathbf{gl}(V)$. As usual, we say that X is semisimple if it is diagonalizable in $V_{\mathbf{C}}$ and that X is nilpotent if there exists $n \in N$ such that $X^n = 0$. We say that X is elliptic (hyperbolic) in the additive case if it is semisimple and its eigenvalues are purely imaginary (real). Now let $g \in \mathrm{Gl}(V)$. We say that g is elliptic (hyperbolic) in the multiplicative case if it is semisimple and its eigenvalues have absolute value equal to one (are real positive). We say that g is unipotent if g - I is nilpotent. The proof of the following result is straightforward.

Lemma 2.1. Let X, Y be two commuting linear maps of V.

- 1. If both X, Y are semisimple, elliptic or hyperbolic, then X + Y in the additive case (or XY in the multiplicative case) is semisimple, elliptic or hyperbolic.
- If both X, Y are nilpotent (or unipotent), then X+Y is nilpotent (or XY is unipotent).
- 3. If Y is simultaneously semisimple and nilpotent (or semisimple and unipotent) then Y = 0 (or Y = I).
- 4. If Y is elliptic and hyperbolic, then Y = 0 in the additive case (or Y = I in the multiplicative case).

Denote by $\mathbf{F}[x]$ the ring of the polynomials in x with coefficients in $\mathbf{F} = \mathbf{C}$ or \mathbf{R} . We denote by $\overline{p}(x)$ the polynomial whose coefficients are the conjugate of the coefficients of $p(x) \in \mathbf{F}[x]$. Thus $p(x) \in \mathbf{R}[x]$ if and only if $\overline{p}(x) = p(x)$. Let $X \in \mathbf{gl}(V)$ and consider the following ring homomorphism

$$\mathbf{F}[x] \to \mathbf{gl}(V), \quad p(x) \mapsto p(X),$$

where $p(x) = a_0 + a_1 x + \dots + a_m x^m$ and $p(X) = a_0 I + a_1 X + \dots + a_m X^m$. We denote by $\mathbf{F}(X)$ the image of this homomorphism. From now on, we will denote simply by p both p(x) and p(X). It will be clear from the context which polynomial is considered. The kernel of the above homomorphism is the principal ideal generated by p_X , the so called minimal polynomial of X. Since $X \in \mathbf{gl}(V)$, it follows that $p_X \in \mathbf{R}[x]$. Since $p_X \in \mathbf{R}[x]$ we can factor it over \mathbf{C} as

$$p_X = p_1 \overline{p}_1 \cdots p_l \overline{p}_l p_{l+1} \cdots p_n$$

where $p_k(x) = (x - \lambda_k)^{m_k}$, λ_k has imaginary part for k = 1, ..., l and λ_k is real for k = l + 1, ..., n. Note that, since the characteristic polynomial of X divides $p_X(x)$, we have that the eigenvalues of X are $\lambda_k, \overline{\lambda_k}$, for k = 1, ..., n.

Lemma 2.2. There exist polynomials π_k , where $1 \leq k \leq n$, and l such that

- (i) $\pi_k \in \mathbf{C}[x]$, for $1 \le k \le l$, and $\pi_k \in \mathbf{R}[x]$, for $l+1 \le k \le n$.
- (ii) If $r \neq s$ then $\pi_r \pi_s$, $\overline{\pi}_r \pi_s$ and $(x \lambda_r)^{m_r} \pi_r$ are multiples of p_X . We also have that $\overline{\pi}_r \pi_r$ is multiple of p_X for $r = 1, \ldots, l$.
- (iii) $1 = \sum_{k=1}^{l} (\pi_k + \overline{\pi}_k) + \sum_{k=l+1}^{n} \pi_k.$

Proof: For $1 \le k \le l$, we define the polynomials

$$q_k = p_1 \overline{p}_1 \cdots p_k \overline{p}_k \cdots p_l \overline{p}_l p_{l+1} \cdots p_n$$

whose conjugates are given by

$$\overline{q}_k = p_1 \overline{p}_1 \cdots \widehat{p}_k \overline{p}_k \cdots p_l \overline{p}_l p_{l+1} \cdots p_n,$$

where the factor below $\hat{}$ is omitted. For $l+1 \leq k \leq n$, we define the polynomials

$$q_k = p_1 \overline{p}_1 \cdots p_l \overline{p}_l p_{l+1} \cdots \widehat{p}_k \cdots p_n.$$

Since the constant polynomials are the only polynomials dividing all q_k and \overline{q}_k , where $1 \leq k \leq n$, it follows that the ideal generated by them is all of $\mathbf{C}[x]$. Thus there exists polynomials a_k , b_k and c_k such that

$$1 = \sum_{k=1}^{l} (a_k q_k + b_k \overline{q}_k) + \sum_{k=l+1}^{n} c_k q_k.$$

Adding the above equation with its conjugate and dividing by two, we can assume that $b_k = \overline{a}_k$ and $c_k = \overline{c}_k$. Defining the polynomials $\pi_k = a_k q_k$, $k = 1, \ldots, l$, and $\pi_k = b_k q_k$, $k = l + 1, \ldots, n$ we obtain the result.

Lemma 2.3. Applying the above polynomials to X we have the following.

- (i) $I = \sum_{k=1}^{l} (\pi_k + \overline{\pi}_k) + \sum_{k=l+1}^{n} \pi_k.$
- (ii) If $r \neq s$, then $\pi_r \pi_s = 0$, $\overline{\pi}_r \pi_s = 0$ and $(X \lambda_r)^{m_r} \pi_r = 0$. We also have that $\overline{\pi}_r \pi_r = 0$, for r = 1, ..., l.
- (iii) For $r = 1, \ldots, n$ we have $\pi_r^2 = \pi_r$.

Proof: Items (i) and (ii) are immediate from the previous lemma and the definition of the minimal polynomial. For item (iii), apply π_k to both sides of item (i) and use item (ii).

Now we make the following remarks. By items (i) and (ii) of the above lemma we have that $V_{\mathbf{C}}$ is the direct sum of the images of the projections $\pi_k, \overline{\pi}_k$. Also, consider the linear maps

$$T_r = \sum_{k=1}^{l} (a_{rk}\pi_k + b_{rk}\overline{\pi}_k) + \sum_{k=l+1}^{n} c_{rk}\pi_k;$$

where r = 1, 2 and a_{rk}, b_{rk}, c_{rk} are polynomials in X. Again by the above lemma, we have that

$$T_1T_2 = \sum_{k=1}^l (a_{1k}a_{2k}\pi_k + b_{1k}b_{2k}\overline{\pi}_k) + \sum_{k=l+1}^n c_{1k}c_{2k}\pi_k.$$

We now obtain the description of the additive Jordan components as polynomials.

Theorem 2.4. Let $X \in \mathbf{gl}(V)$ and put $\lambda_k = u_k + iv_k$. Then X can be written uniquely as a commutative sum X = E + H + N, where E is elliptic, H is hyperbolic and N is nilpotent and they are given by the following real polynomials

(i) $E = \sum_{k=1}^{l} (iv_k \pi_k + \overline{iv_k} \pi_k).$ (ii) $H = \sum_{k=1}^{l} u_k (\pi_k + \overline{\pi}_k) + \sum_{k=l+1}^{n} u_k \pi_k.$ (iii) $N = \sum_{k=1}^{l} ((X - \lambda_k) \pi_k + (X - \overline{\lambda}_k) \overline{\pi}_k) + \sum_{k=l+1}^{n} (X - \lambda_k) \pi_k.$

Proof: Let $S = \sum_{k=1}^{l} (\lambda_k \pi_k + \overline{\lambda_k \pi_k}) + \sum_{k=l+1}^{n} \lambda_k \pi_k$. Note that N = X - S and that S = E + H and thus X = E + H + N. Using the remarks after Lemma 2.3, it is immediate that S is semisimple, E is elliptic, H is hyperbolic and that

$$N^m = \sum_{k=1}^l ((X - \lambda_k)^m \pi_k + (X - \overline{\lambda}_k)^m \overline{\pi}_k) + \sum_{k=l+1}^n (X - \lambda_k)^m \pi_k.$$

Taking $m = \max_k \{m_k\}$, by item (ii) of Lemma 2.3, it follows that $N^m = 0$.

For the uniqueness, consider the commuting sum X = E + H + N, with \tilde{E} elliptic, \tilde{H} hyperbolic and \tilde{N} nilpotent. Define $\tilde{S} = \tilde{E} + \tilde{H}$. Since \tilde{E} and \tilde{H} commute, by Lemma 2.1, we have that \tilde{S} is semisimple. Since E, H, N are polynomials in X, they commute with \tilde{E} , \tilde{H} , \tilde{N} . Using that $X = S + N = \tilde{S} + \tilde{N}$, by Lemma 2.1, we have that $S - \tilde{S} = \tilde{N} - N$ is both semisimple and nilpotent and thus $S = \tilde{S}$ and $\tilde{N} = N$. Now using that $S = E + H = \tilde{E} + \tilde{H}$, by Lemma 2.1, we have that $E - \tilde{E} = \tilde{H} - H$ is both elliptic and hyperbolic and thus $E = \tilde{E}$ and $\tilde{H} = H$.

When X is invertible, we denote it by $g \in Gl(V)$ with eigenvalues λ_k . The following result provides the description of the multiplicative Jordan components as polynomials.

Theorem 2.5. Let $g \in Gl(V)$ and put $\lambda_k = u_k + iv_k$. Then g can be written uniquely as a commutative product g = ehu, where e is elliptic, h is hyperbolic and u is unipotent and they are given by the following real polynomials

(i) $e = \sum_{k=1}^{l} |\lambda_k|^{-1} (\lambda_k \pi_k + \overline{\lambda_k} \pi_k) + \sum_{k=l+1}^{n} |\lambda_k|^{-1} \lambda_k \pi_k.$ (ii) $h = \sum_{k=1}^{l} |\lambda_k| (\pi_k + \overline{\pi}_k) + \sum_{k=l+1}^{n} |\lambda_k| \pi_k.$

(iii)
$$u = I + N\left(\sum_{k=1}^{l} (\lambda_k^{-1} \pi_k + \overline{\lambda_k^{-1}} \pi_k) + \sum_{k=l+1}^{n} \lambda_k^{-1} \pi_k\right)$$

where N is the nilpotent component of g. Furthermore, we have that $h = e^{H}$, where

$$H = \sum_{k=1}^{l} \log(|\lambda_k|)(\pi_k + \overline{\pi}_k) + \sum_{k=l+1}^{n} \log(|\lambda_k|)\pi_k.$$

Proof: By the proof of Theorem 2.4 we have that g = S + N. Noting that $u = I + NS^{-1}$, we have that Su = S + N = g. It is immediate that S = eh, and thus g = ehu. Since S, N commute and N is nilpotent, it follows that u is unipotent. Using the remarks after Lemma 2.3, it is immediate that e is elliptic, that h is hyperbolic and that $h = e^{H}$.

For the uniqueness, consider the commuting product $g = \tilde{e}h\tilde{u}$, with \tilde{e} elliptic, \tilde{h} hyperbolic and \tilde{u} unipotent. Define $\tilde{S} = \tilde{e}h$. Since \tilde{e} and \tilde{h} commute, by Lemma 2.1, we have that \tilde{S} is semisimple. Since e, h, u are polynomials in g, they commute with $\tilde{e}, \tilde{h}, \tilde{u}$. Using that $g = Su = \tilde{S}\tilde{u}$, by Lemma 2.1, we have that $\tilde{S}^{-1}S = \tilde{u}u^{-1}$ is both semisimple and unipotent and thus $S = \tilde{S}$ and $\tilde{u} = u$. Now using that $S = eh = \tilde{e}h$, by Lemma 2.1, we have that $\tilde{e}^{-1}e = \tilde{h}h^{-1}$ is both elliptic and hyperbolic and thus $e = \tilde{e}$ and $\tilde{h} = h$.

Example: In this example we display polynomials which give the additive and multiplicative Jordan components of the invertible linear map

$$g = \left(\begin{array}{rrrrr} 1 & 1 & 0 & 0 \\ -1 & 1 & 0 & 0 \\ 0 & 0 & 2 & 1 \\ 0 & 0 & 0 & 2 \end{array} \right),$$

which has minimal polynomial $p_g(x) = (x - (1+i))(x - (1-i))(x-2)^2$. By using the Euclidean algorithm, we obtain the following polynomials which satisfy Lemma 2.2

$$\pi_1(x) = \frac{1}{4} (x - 1 + i) (x - 2)^2, \quad \pi_2(x) = -\frac{1}{2} (x - 3) (x^2 - 2x + 2).$$

Applying Theorem 2.4 we obtain, after factorization,

$$E(x) = -\frac{1}{2} (x-2)^2$$
, $H(x) = -\frac{1}{2}x^3 + \frac{5}{2}x^2 - 4x + 4$.

Applying Theorem 2.5 we obtain, after factorization,

$$e(x) = \frac{1}{4} \left(\sqrt{2} - 2\right) \left(x^3 + (\sqrt{2} - 4)x^2 + 4(1 - \sqrt{2})x + 2\sqrt{2} - 4\right),$$
$$h(x) = \frac{1}{2} \left(\sqrt{2} - 2\right) \left(x^3 - 5x^2 + 8x - 8 - 2\sqrt{2}\right),$$
$$u(x) = \frac{1}{4}x \left(x^2 - 4x + 6\right).$$

One can use a mathematical software package to check that these polynomials give the correct additive and multiplicative Jordan components of g.

3. Semisimple linear Lie algebras and groups

In this section we will obtain the Jordan decomposition in semisimple linear Lie algebras and groups. When $\mathbf{g} = \mathbf{gl}(V)$ and $G = \mathrm{Gl}(V)$, we have that $\mathrm{ad}(X)Y = XY - YX$ and $\mathrm{Ad}(g)X = gXg^{-1}$.

3.1. Additive Jordan decomposition

Let $\mathbf{g} \subset \mathbf{gl}(V)$ be a semisimple Lie algebra of $\mathbf{gl}(V)$. Denote by $n(\mathbf{g})$ the normalizer of \mathbf{g} in $\mathbf{gl}(V)$, that is,

$$n(\mathbf{g}) = \{ X \in \mathbf{gl}(V) : \mathrm{ad}(X)\mathbf{g} \subset \mathbf{g} \}.$$

By definition \mathbf{g} is an ideal in $n(\mathbf{g})$.

Consider the representation $\rho : \mathbf{g} \to \mathbf{gl}(V_{\mathbf{C}})$, of \mathbf{g} in $V_{\mathbf{C}}$, given by

(3.1)
$$\rho(X)(v) = Xv,$$

for all $X \in \mathbf{g}$ and $v \in V_{\mathbf{C}}$. Since \mathbf{g} is semisimple and $V_{\mathbf{C}}$ has finite dimension we have, by the Weyl decomposition theorem (Theorem 3.13.1, p.222 of [7]), that there exist subspaces V_1, \ldots, V_m such that

$$(3.2) V_{\mathbf{C}} = V_1 \oplus \cdots \oplus V_m$$

and each V_k , $1 \leq k \leq m$ is invariant and irreducible by ρ . For each $k = 1, \ldots, m$, denote by \mathbf{g}_k the subalgebra of $\mathbf{gl}(V)$ given by

(3.3)
$$\mathbf{g}_k = \{ X \in \mathbf{gl}(V) : X(V_k) \subset V_k \text{ and } \operatorname{tr}(X|_{V_k}) = 0 \}.$$

Since **g** is semisimple it follows that $\mathbf{g} \subset \mathbf{g}_k$. Let $\mathbf{\tilde{g}}$ the following subalgebra of $\mathbf{gl}(V)$:

(3.4)
$$\widetilde{\mathbf{g}} = n(\mathbf{g}) \cap \mathbf{g}_1 \cap \cdots \cap \mathbf{g}_m.$$

We have that \mathbf{g} is an ideal in $\widetilde{\mathbf{g}}$.

The following result is an adaptation for real semisimple Lie algebras of proof of Theorem 6.4, p.29 of [4].

Lemma 3.1. With the above notations, we have that $\mathbf{g} = \widetilde{\mathbf{g}}$.

Proof: Consider the representation $\tilde{\rho} : \mathbf{g} \to \mathbf{gl}(\tilde{\mathbf{g}})$ of \mathbf{g} in $\tilde{\mathbf{g}}$, given by $\tilde{\rho}(X)(Y) = \mathrm{ad}(X)Y$, where $X \in \mathbf{g}$ and $Y \in \tilde{\mathbf{g}}$. By the Weyl decomposition theorem (Theorem 3.13.1, p.222 of [7]), there exists a subspace $\mathbf{h} \subset \tilde{\mathbf{g}}$ invariant by $\tilde{\rho}$ such that

$$\widetilde{\mathbf{g}} = \mathbf{g} \oplus \mathbf{h}.$$

Since **h** is invariant by $\tilde{\rho}$ and **g** is an ideal of $\tilde{\mathbf{g}}$, it follows that $\mathrm{ad}(X)Y \in \mathbf{g} \cap \mathbf{h} = \{0\}$, for all $X \in \mathbf{g}$ and $Y \in \mathbf{h}$.

Let $Y \in \mathbf{h}$. For each $1 \leq k \leq m$ and $v \in V_k$ we have that

$$\rho(X)Y(v) = XY(v) = YX(v) = Y\rho(X)v$$

for all $X \in \mathbf{g}$. By the Schur lemma, there exists $c \in \mathbf{C}$ such that $Y|_{V_k} = cI_k$, where I_k is the identity of V_k . We have that

$$0 = \operatorname{tr}(Y|_{V_k}) = \operatorname{tr}(cI_k) = c \dim V_k,$$

so that c = 0 and $Y|_{V_k} = 0$. Since k is arbitrary, Y = 0. It follows that $\mathbf{h} = \{0\}$, that is, $\tilde{\mathbf{g}} = \mathbf{g}$.

Lemma 3.2. We have the following.

- 1. If $E \in \mathbf{gl}(V)$ is elliptic then $\mathrm{ad}(E)$ is elliptic.
- 2. If $H \in \mathbf{gl}(V)$ is hyperbolic then $\mathrm{ad}(H)$ is hyperbolic.
- 3. If $N \in \mathbf{gl}(V)$ is nilpotent, then $\mathrm{ad}(N)$ is nilpotent.

Proof: For items 1 and 2, let $\{v_1, \ldots, v_n\}$ be a basis of $V_{\mathbf{C}}$ given by eigenvectors of a semisimple $S \in \mathbf{gl}(V)$. Let $\lambda_1, \ldots, \lambda_n$ be the respective eigenvalues. Consider the basis of $\mathbf{gl}(V_{\mathbf{C}})$ given by $E_{rs} : V_{\mathbf{C}} \to V_{\mathbf{C}}$, $E_{rs}(v_k) = \delta_{jk}v_r$, where δ_{jk} is the Kronecker delta. We have that

$$SE_{rs}v_k = S\delta_{jk}v_r = \lambda_r\delta_{jk}v_r = \lambda_r E_{rs}v_k$$

and thus

$$\operatorname{ad}(S)E_{rs}v_k = (\lambda_r - \lambda_s)E_{rs}v_k,$$

which shows that E_{rs} is an eigenvector of $\operatorname{ad}(S)$ associated to the eigenvalue $\lambda_r - \lambda_s$. It is then immediate that $\operatorname{ad}(S)$ is elliptic (hyperbolic) when S is elliptic (hyperbolic).

For the last item consider the linear map $L_N : \mathbf{gl}(V) \to \mathbf{gl}(V)$ given by $L_N(Y) = NY, Y \in \mathbf{gl}(V)$. Since $L_N^n(Y) = N^nY$, it follows that L_N is nilpotent. Consider also the linear map $R_N : \mathbf{gl}(V) \to \mathbf{gl}(V)$ given by $R_N(Y) = YN, Y \in \mathbf{gl}(V)$. Since $R_N^n(Y) = YN^n$, it follows that R_N is also nilpotent. Noting that L_N and R_N commute, and that $\mathbf{ad}(N) = L_N - R_N$, it follows that $\mathbf{ad}(N)$ is nilpotent.

We now obtain the main result of this subsection.

Theorem 3.3. Let \mathbf{g} be a semisimple Lie subalgebra of $\mathbf{gl}(V)$ and $X \in \mathbf{g}$. The additive Jordan components of X lie in \mathbf{g} .

Proof: Let X = E + H + N be the additive Jordan decomposition of X. By Lemma 3.2 we have that ad(X) = ad(E) + ad(H) + ad(N) is the additive Jordan decomposition of ad(X). By Theorem 2.4 it follows that **g** is invariant by the Jordan components of ad(X), since they are polynomials in ad(X). Thus, the Jordan components of X lie in $n(\mathbf{g})$. Again by Theorem 2.4 it follows that V_k is invariant by the Jordan components of X, since they are polynomials in X. Since N is nilpotent, then $N|_{V_k}$ is also nilpotent so that $tr(N|_{V_k}) = 0$. Since E is elliptic, then $E|_{V_k}$ is also elliptic so that $tr(E|_{V_k})$ is both real and pure imaginary and thus vanishes. It follows that $tr(H|_{V_k}) = 0$, since $tr(X|_{V_k}) = 0$. Hence the Jordan components of X lie in \mathbf{g}_k , for each $k = 1, \ldots, m$, showing that they lie in $\tilde{\mathbf{g}}$. The result now follows from Lemma 3.1.

Using the previous result and Lemma 3.2 we have the next result, which proves also the existence of the abstract Jordan decomposition in \mathbf{g} .

Corollary 3.4. If **g** is a semisimple Lie subalgebra of $\mathbf{gl}(V)$ then the abstract and usual Jordan decompositions coincide.

3.2. Multiplicative Jordan decomposition

Let **g** be a semisimple Lie subalgebra of $\mathbf{gl}(V)$ and G a connected Lie subgroup of $\mathrm{Gl}(V)$ with Lie algebra **g**. We denote by $N(\mathbf{g})$ the normalizer

of \mathbf{g} in $\operatorname{Gl}(V)$ which is given by

$$N(\mathbf{g}) = \{ g \in \operatorname{Gl}(V) : \operatorname{Ad}(g)\mathbf{g} = \mathbf{g} \}.$$

We have that G is a normal subgroup of $N(\mathbf{g})$ since, by the connectedness of G, $N(\mathbf{g})$ is the normalizer of G in Gl(V).

Consider the representation ρ : $\mathbf{g} \to \mathbf{gl}(V_{\mathbf{C}})$ given in (3.1) and the decomposition $V_{\mathbf{C}} = V_1 \oplus \cdots \oplus V_m$ given in (3.2), such that each V_k is invariant and irreducible by ρ , $1 \leq k \leq m$. For each $k = 1, \ldots, m$, denote by G_k the subgroup of $\mathrm{Gl}(V)$ given by

$$G_k = \{g \in \operatorname{Gl}(V) : g(V_k) \subset V_k \in \det(g|_{V_k}) = 1\}.$$

Since G is connected and semisimple it follows that $G \subset G_k$. Consider the subgroup of Gl(V) given by

$$\widetilde{G} = N(\mathbf{g}) \cap G_1 \cap \dots \cap G_m.$$

Proposition 3.5. Let G be a connected semisimple Lie subgroup of Gl(V).

With the above notations, \tilde{G} is algebraic and its connected component of the identity is G. In particular, G is closed.

Proof: We first show that \tilde{G} is algebraic. We start by showing that $N(\mathbf{g})$ is algebraic. Let \mathbf{g} be given, as a subspace, by the kernel of a $T \in \mathbf{gl}(\mathbf{gl}(V))$. Consider $g \in \mathrm{Gl}(V)$, then it is well known $\hat{g} = g^{-1} \det(g)$ is a polynomial in g. Then condition that $\mathrm{Ad}(g)\mathbf{g} = \mathbf{g}$ is clearly seen to be equivalent to $T(gX\hat{g}) = 0$, for all $X \in \mathbf{g}$. Thus, taking a basis $\{X_1, \ldots, X_n\}$ of \mathbf{g} , the condition $\mathrm{Ad}(g)\mathbf{g} = \mathbf{g}$ is equivalent to the algebraic condition $T(gX_r\hat{g}) = 0$, for $r = 1, \ldots, m$. To show that each G_k is algebraic we choose a basis of $\{v_1, \ldots, v_n\}$ of $V_{\mathbf{C}}$ such that $\{v_1, \ldots, v_l\}$ is a basis of $V_k, l \leq n$. Denote by $z_{rs}(g)$ the (r, s)-entry of the matrix of $g \in \mathrm{Gl}(V)$ in this basis. It follows that $gV_k \subset V_k$ if and only if $z_{rs}(g) = 0$ for $r > l, s \leq l$, and in this case we have

$$\det(g|_{V_k}) = \det\left((z_{rs}(g))_{1 \le r, s \le l}\right).$$

Now, since G is connected, it is enough to show that its Lie algebra coincides with the Lie algebra of \tilde{G} . Since the Lie algebra of $N(\mathbf{g})$ is $n(\mathbf{g})$ and the Lie algebra of G_k is the subalgebra \mathbf{g}_k given in (3.3), we have that the Lie algebra of \tilde{G} is given by (3.4). The result now follows by Lema 3.1.

Lemma 3.6. We have the following.

- 1. If $e \in Gl(V)$ is elliptic then Ad(e) is elliptic.
- 2. If $h \in Gl(V)$ is hyperbolic then Ad(h) is hyperbolic.
- 3. If $u \in Gl(V)$ is unipotent, then Ad(u) is unipotent.

Proof: For items 1 and 2, let $\{v_1, \ldots, v_n\}$ be a basis of $V_{\mathbf{C}}$ given by eigenvectors of a semisimple $s \in \mathrm{Gl}(V)$. Let $\lambda_1, \ldots, \lambda_n$ be the respective eigenvalues. Consider the basis of $\mathrm{gl}(V_{\mathbf{C}})$ given by $E_{rs} : V_{\mathbf{C}} \to V_{\mathbf{C}}$, $E_{rs}(v_k) = \delta_{jk}v_r$, where δ_{jk} is the Kronecker delta. We have that

$$\operatorname{Ad}(s)E_{rs}v_{k} = sE_{rs}s^{-1}v_{k} = sE_{rs}\lambda_{k}^{-1}v_{k} = \lambda_{k}^{-1}\delta_{jk}sv_{r}$$
$$= \lambda_{r}\lambda_{s}^{-1}\delta_{jk}v_{r} = \lambda_{r}\lambda_{s}^{-1}E_{rs}v_{k}.$$

which shows that E_{rs} is an eigenvector of $\operatorname{Ad}(s)$ associated to the eigenvalue $\lambda_r \lambda_s^{-1}$. It is then immediate that $\operatorname{Ad}(s)$ is elliptic (hyperbolic) when s is elliptic (hyperbolic).

For the last item, by Lemma IX.7.3 p.431 of [2], we have that $u = e^N$ where $N \in \mathbf{g}$ is nilpotent. Since $\operatorname{Ad}(u) = e^{\operatorname{ad}(N)}$ the result follows from the last item of Lemma 3.2.

We now obtain the principal result of this subsection.

Theorem 3.7. Let G be a connected semisimple Lie subgroup of Gl(V) and $g \in G$. Then the multiplicative Jordan components of g lie in G.

Proof: Let g = ehu be the multiplicative Jordan decomposition of g. By Lemma 3.6 we have that $\operatorname{Ad}(g) = \operatorname{Ad}(e)\operatorname{Ad}(h)\operatorname{Ad}(u)$ is the multiplicative Jordan decomposition of $\operatorname{Ad}(g)$. By Theorem 2.5 it follows that \mathbf{g} is invariant by the Jordan components of $\operatorname{Ad}(g)$, since they are polynomials in $\operatorname{Ad}(g)$. Thus, the Jordan components of g lie in $N(\mathbf{g})$. Again by Theorem 2.5 it follows that V_k is invariant by the Jordan components of g, since they are polynomials in g. Since u is unipotent, then $u|_{V_k}$ is also unipotent so that $\det(u|_{V_k}) = 1$. Since e is elliptic and h is hyperbolic, then $e|_{V_k}$ is also elliptic and $h|_{V_k}$ is also hyperbolic so that $\det(e|_{V_k})$ is real and have absolute value equal to one and $\det(h|_{V_k}) = 1$. Hence the Jordan components of g lie in G_k , for each $k = 1, \ldots, m$, showing that they lie in \widetilde{G} . By Proposition 3.5, it remains to show that the Jordan components of g lie in the connected component of the identity of \tilde{G} .

For the hyperbolic component, by Theorem 2.5, we have that $h = e^H$, where $H \in \mathbf{gl}(V)$ is hyperbolic. Let $\{v_1, \ldots, v_l\}$ be a basis of V such that $Hv_r = \lambda_r v_r$. We have that $h^n v_r = e^{n\lambda_r} v_r$, for all $n \in \mathbb{Z}$. In this basis, let $\{Q_s\}$ be the set of polynomials defining \widetilde{G} . Let P_s be the polynomial obtained by restricting Q_s to the diagonal matrices in this basis. Since $h^n \in \widetilde{G}$, we have that $P_s(e^{n\lambda_1}, \ldots, e^{n\lambda_l}) = 0$, for all $n \in \mathbb{Z}$. By Lemma 1.142 p.116 of [5], we have that $P_s(e^{t\lambda_1}, \ldots, e^{t\lambda_l}) = 0$, for all $t \in \mathbb{R}$. This shows that $e^{tH} \in \widetilde{G}$, for all $t \in \mathbb{R}$, so that h lies in the connected component of identity of \widetilde{G} . For the unipotent component, by Lemma IX.7.3 p.431 of [2], we have that $u = e^N$, where $N \in \mathbf{gl}(V)$ is nilpotent. Choosing a basis of V, we have that the (r, s)-entry of u^n is a polynomial $p_{rs}(n)$ in $n \in \mathbb{Z}$, since $u^n = e^{nN}$ and N is nilpotent. We have that $q_j(n) = Q_j((p_{rs}(n))_{1 \leq r, s \leq l})$ is also a polynomial in n. Since $u^n \in \widetilde{G}$, we have that $q_j(n) = 0$, for all $n \in \mathbb{Z}$, which implies that $q_j(t) = 0$, for all $t \in \mathbb{R}$. This shows that $e^{tN} \in \widetilde{G}$, for all $t \in \mathbb{R}$, so that u lies in the connected component of identity of \widetilde{G} .

Since g is already in G and g = ehu it follows that e lies in G, which completes the proof.

Using the previous result and Lemma 3.6 we have the next result, which proves also the existence of the abstract Jordan decomposition in G.

Corollary 3.8. If G is a connected semisimple Lie subgroup of Gl(V) then the abstract and usual Jordan decompositions coincide.

References

- T. Ferraiol, M. Patrão and L. Seco: Jordan decomposition and dynamics on flag manifolds, Discrete Contin. Dyn. Syst. A, 26 No. 3, pp. 923-947, (2010).
- [2] Helgason, S. Differential Geometry, Lie Groups and Symmetric Spaces. Academic Press, (1978).
- [3] Hoffman, K. and Kunze, R. Linear Algebra. Second Edition. Prentice-Hall, (1971).

- [4] Humphreys, J.E. Introduction to Lie Algebras and Representation Theory. Springer, (1972).
- [5] Knapp, A. W. Lie Groups Beyond an Introduction, Progress in Mathematics, v. 140, Birkhäuser, (2004).
- [6] Mostow, G. D.: Factor Spaces of Solvable Groups. Ann. of Math., 60, No. 1, pp. 1-27, (1954).
- [7] Varadarajan, V.S. Lie Groups, Lie Algebras and their Representations. Prentice-Hall Inc., (1974).
- [8] Varadarajan, V.S. Harmonic Analysis on Real Reductive Groups. Lecture Notes in Math. 576. Springer-Verlag, 1977.
- [9] Warner, G. Harmonic Analysis on Semi-Simple Lie Groups I. Springer-Verlag, (1972).

Mauro Patrão

Departamento de Matemática Universidade de Brasília-DF, Brazil e-mail : mpatrao@mat.unb.br

Laércio Santos

Universidade Federal de São Carlos Campus de Sorocaba Sorocaba - SP, Brazil e-mail : lsantos@ufscar.br

and

Lucas Seco Departamento de Matemática Universidade de Brasília-DF, Brazil e-mail : lseco@mat.unb.br